



Creating A Single Global Electronic Market

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

# ebXML specification for the application of XML based assembly and context rules

## ebXML Core Components

16 February 2001  
Version 1.01

26 **1 Status of this Document**

27 This document specifies an ebXML draft specification for the eBusiness community.

28

29 Distribution of this document is unlimited.

30

31 The document formatting is based on the Internet Society's Standard RFC format.

32

33 ***This version:***

34

35 ebXML specification for the application of XML based assembly and context  
36 rules Ver 1.01

37

38

39

## 40 **2 ebXML participants**

41 We would like to recognize the following for their significant participation to the  
42 development of this document.

43

44 Editing team:                   Mike Adcock, APACS  
45                                    Sue Probert, Commerce One  
46                                    James Whittle, e CentreUK  
47                                    Gait Boxman, TIE  
48                                    Thomas Becker, SAP

49

50 Team Leader:                   Arofan Gregory, Commerce One  
51 Vice Team Leader:            Eduardo Gutentag, SUN Microsystems

52

53 Contributors:

54                                    Martin Bryan  
55                                    Lauren Wood  
56                                    Tom Warner  
57                                    Jim Dick  
58                                    Rob Jeavons  
59                                    David Connelly  
60                                    Mike Adcock  
61                                    Eduardo Gutentag  
62                                    Matthew Gertner  
63                                    Todd Freter  
64                                    Henrik Reiche  
65                                    Chris Nelson  
66                                    Martin Roberts  
67                                    Samantha Rolefes  
68                                    Stig Korsgaard

69 **3 Table of Contents**

70 1 Status of this Document ..... 2

71 2 ebXML participants..... 3

72 3 Table of Contents ..... 4

73 4 Introduction ..... 5

74 4.1 Summary of Contents of Document..... 6

75 4.2 Related Documents ..... 6

76 5 Document Assembly ..... 7

77 6 Context and Context Rules..... 8

78 7 XML-Based Rules Model ..... 10

79 7.1 Proposed Rules Syntax..... 10

80 7.1.1 Notes on Assembly..... 14

81 7.1.2 Notes on Context..... 14

82 7.2 DTD for Assembly Documents..... 14

83 7.3 DTD for Context Rules Documents ..... 15

84 7.4 Example of Assembly Rules document ..... 17

85 7.5 Example of Context Rules Document..... 18

86 8 Rule Ordering ..... 21

87 9 Semantic Interoperability Document ..... 22

88 10 Output Constraints ..... 24

89 11 References ..... 25

90 12 Disclaimer ..... 26

91 13 Contact Information ..... 27

92 14 Copyright Statement..... 28

93

## 94 **4 Introduction**

95 The challenge of ebXML is to create a framework for automating trading partner  
96 interactions that is both:  
97 • Sufficiently generic to permit implementation across the entire range of business  
98 processes (in various industries, geographical regions, legislative environments, etc.)  
99 • Expressive enough to be more effective than ad hoc implementations between  
100 specific trading partners.

101

102 This specification document describes the way in which rules can be formed and/or  
103 derived, but is not a prescriptive specification. It is believed that rule mechanisms will be  
104 achieved in different ways within different implementations/solutions.

105

106 This document deals with two specific aspects of the task:

- 107 • The assembly of core component schemas into full business document schemas,
- 108 • The modeling of core components for business documents that provide useful  
109 building blocks for real-world trading scenarios and, at the same time, are open  
110 enough to take into account the wide variety of document formats required by  
111 organizations with differing business practices and requirements.

112

113 Complicating this situation is the need for interoperability: companies must be able to  
114 communicate business documents effectively with minimum human intervention, even  
115 though the formats used may have a significantly different syntax.

116

117 Central to achieving this goal is the notion of context. Context provides a framework for  
118 adapting generic core components to specific business needs, while keeping the  
119 transformation process transparent so that the processing engine can find a useful set of  
120 common information for use by different trading partners. An example of a contextual  
121 category that is useful for business is industry: different industries will have different  
122 requirements for the syntax of core components. By starting with a generic core  
123 component and using context to derive a context-specific core component, we ensure  
124 that, at the very least, the information in the generic component will be useful when  
125 interacting with a trading partner in a different context (i.e. industry, region, etc.). This  
126 should be contrasted with the alternative: context-specific business documents that are  
127 not built from generic core components and therefore provide no common basis for  
128 interaction outside of that context.

129

130 In order to assemble full business documents from core components, rules are drawn  
131 specifying what components are to be included in the document, and how.

132

133 In order to generate a context-specific core component, rules are associated with different  
134 values for each of the context categories. This document presents a proposed syntax for  
135 these context rules, and a methodology for applying them, in order to achieve maximum  
136 reuse of existing XML software development tools and libraries.

137

138

139 The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD,  
140 SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in this  
141 document, are to be interpreted as described in RFC 2119 [Bra97].

#### 142 **4.1 Summary of Contents of Document**

143 This specification describes the mechanism for assembling documents from the library of  
144 Core Components. It describes the process of refining the components to contain exactly  
145 the information required by a specific business context and describes the output of this  
146 process such that it enables interoperability independent of any syntax binding. This  
147 approach also lends itself to an automated comparison with other, similar document  
148 definitions created in other syntaxes. The provided specifications are;

- 149 • A syntax for providing the assembly rules, with a DTD and sample;
- 150 • A syntax for refining the assembled structures, and indicating specific context  
151 drivers, also with DTD and sample;
- 152 • A format for capturing the critical information about the final result, provided as  
153 an XML DTD.

#### 154 **4.2 Related Documents**

155 As mentioned above, other documents provide detailed definitions of some of the  
156 components and their inter-relationship. They include ebXML Specifications on the  
157 following topics;

- 158 • ebXML The role of context in the re-usability of Core Components and Business  
159 Processes Ver 1.01
- 160 • ebXML Naming conventions for Core Components and Business Processes  
161 Ver1.01
- 162 • ebXML Initial catalogue of Core Components Ver1.01

## 163 **5 Document Assembly**

164 Document assembly is the rules-based process whereby Core Components are extracted  
165 from the repository and used to create a schema model. That can then be used to create an  
166 XML schema which, when appropriate, and after the application of any relevant Context  
167 Rules, can be used to validate the contents of a business document.

168  
169 For example, a Purchase order schema may consist of two parties (buyer, seller), and a  
170 sequence of items. Purchase orders are not Core Components; they must be assembled  
171 out of Core Components found in the repository.

172 **6 Context and Context Rules**

173 When a business process is taking place, the context can be specified by a set of  
 174 contextual categories and their associated values. For example, if an auto manufacturer is  
 175 purchasing paint from a chemicals manufacturer, the context values might be as follows:  
 176

Contextual Category	Value
Process	Procurement
Product Classification	Paint
Region (buyer)	France
Region (seller)	U.S.
Industry (buyer)	Not required (generic)
Industry (seller)	retail

177  
 178 Rules indicate which context values (or combination thereof) must be present in order for  
 179 them to be applied, as well as the action to be undertaken if a match occurs. Actions  
 180 include adding additional information to a functional unit, making this information  
 181 optional, required or eliminating optional information. We might, for instance, specify  
 182 that addresses associated with organizations in the U.S. region be required to include a  
 183 state (which might otherwise be optional). Note that these contextual changes are made  
 184 individually to the Core Components that make up a business document, and not to the  
 185 business document itself.

186  
 187 Despite this underlying simplicity, complications arise in certain cases that make real-  
 188 world implementation of context rules extremely tricky. Broadly speaking, these  
 189 complications relate to scenarios where two rules both match the context, but have  
 190 conflicting results, or where different results are reached depending on the order in which  
 191 matching rules are applied. The following examples illustrate these two cases (and refer  
 192 to the sample context given above):

- 193 • One rule could require that if the buyer is in the U.S. region, product description  
 194 should not be included in invoice line items. Another specifies that if the seller is  
 195 in France, the product description (in French) shall be included.
- 196 • One rule could require that if the buyer’s industry is automotive, the product  
 197 category should be added to the invoice line items. Another specifies that if a  
 198 product category information entity exists and the seller’s industry is chemicals,  
 199 an attribute should be added to the product category to indicate the toxicity of the  
 200 products in the category. If the toxicity requirement were applied first, the  
 201 attribute would not be added (since the product category was not yet present). The  
 202 outcome therefore depends on the order in which the rules are applied.

203 The problem with these types of situations is not so much that there is no way to resolve  
 204 them. It is rather that there are many possible solutions with no clear way of deciding  
 205 which to choose, and all are sufficiently complex to place a significant burden on the  
 206 implementer.

207 Additional complications result from the potentially hierarchical nature of context values.  
208 For example, the possible values for region belong in a hierarchical space (e.g. continent,  
209 country, region, city, etc.). The region specification can therefore be very general or very  
210 specific. Since rules can match a general value (e.g. apply if the organization is in North  
211 America) or a specific value (e.g. apply if the organization is in Omaha, Nebraska), there  
212 must be some way of determining which rules to apply (any combination including all of  
213 them) if several match. This is because, in some cases, a specific rule may complement  
214 the general rule, while in others it may override it.

215 **7 XML-Based Rules Model**

216 The custom XML syntax for assembly and context rules presented in this document is  
 217 designed to ensure an appropriate level of abstraction for the rules, and to allow them to  
 218 be applied both manually and/or by programs.

219 **7.1 Rules Syntax**

220 The syntax is presented here in tabular form, to avoid tying the definition of the schemas  
 221 it describes to a given schema language syntax. This table should be sufficiently  
 222 expressive to permit the derivation of a corresponding schema definition in various  
 223 concrete schema syntaxes (DTD, XML Schema, SOX, XDR, etc.). This syntax describes  
 224 two XML schemas describing two classes of XML documents whose roots are,  
 225 respectively, <Assembly> and <ContextRules>. They are presented here in a  
 226 single table because there is conceptual commonality.

227  
 228 A specific rules file is thus an XML document conforming to one of these schemas.

229  
 230 The following values are allowed for the occurrence field:

231

Name	Meaning
Required	Must occur exactly once
Optional	May occur once at most
+	Required and may occur multiply
*	Optional and may occur multiply
(m,n)	Occurs at least m and at most n times

232

233 Names separated by the vertical bar (|) represent a disjunction (i.e one and only one of the  
 234 list of names may occur). For example, Apple|Orange|Banana indicates that either an  
 235 Apple or an Orange or a Banana may occur in this location.

236 Names prefixed with the commercial at sign (@) are represented as attributes in the XML  
 237 instance (and the leading @ is removed from the attribute name).

238

Name	Type	Occurrence	Default	Description
<b>Assembly</b>				
Assemble	complex	+		List of assembled Core Components
@name	string	optional		Name of collection of assembled document schemas.
@version	string	optional		Version of the Assembly Rules document.
Context	complex	required		List of contexts used in this

				assembly
<b>Assemble</b>				
CreateElement	complex	+		List of Core Components
CreateGroup	complex	*		Create a group of elements
@name	string	required		Name of the document schema being assembled
<b>CreateGroup</b>				
@Type	enum	default	sequence	Type of group to be created (the only permitted values are 'sequence' and 'choice')
CreateGroup	complex	*		Create a group of elements
CreateElement	complex	*		Create an Element
UseElement	complex	*		Use the named element from among the children of the element being created.
<b>CreateElement</b>				
@Type	enum	optional		Type of element to be created
@minOccurs	integer	optional		Minimum occurrences for the element created
@maxOccurs	integer	optional		Maximum occurrences for the element created. One possible value (other than integer) is 'unbounded'.
@id	ID	required		Id of the created element
@idref	IDREF	optional		Reference to the ID of another created element
Name	string	required		Name of the element to be assembled
@location	GUID URI	required		Location of the element to be assembled (i.e. query to the registry)
Rename	EMPTY	optional		renames children of the created element
ApplySequence	complex	+		Creates a sequence of elements in the result document.
ApplyChoice	complex	+		Creates a choice of elements in the result document.
<b>Rename</b>				
@from	string	required		original name of the child element being renamed

@to	string	required		new name of the child being renamed
<b>ContextRules</b>				
Rule	complex	+		List of rules to be applied
@version	string	optional		Version of the ContextRules document.
context	complex	required		List of contexts used in this ContextRules document.
<b>Rule</b>				
@Apply	enum	default	exact	(see below)
Condition	complex	required		When rule should be run
Action	complex	+		What happens when rule is run
@Order	integer	default	0	Defines order for running rules. Rules with higher value for order are run first
Taxonomy	EMPTY	+		List of taxonomies used in a Rule that employs hierarchical conditions.
<b>Taxonomy</b>				
@ref	URI	Required		Pointer to a taxonomy.
<b>Condition</b>				
@Test	string	Required		Boolean expression testing whether the rule should be run. Uses the same XPath syntax as XSLT [XSLT]
<b>Action</b>				
@ApplyTo	string	Required		Node to apply action to
Add Subtract Occur	complex	+		List of modifications to content model
<b>Add</b>				
@MinOccurs	integer	default	1	Minimum number of times that the new field must occur
@MaxOccurs	integer	default	1	Maximum number of times that the new field can occur
@Before	string	optional		Specifies before which child the addition should occur.
@After	string	optional		Specifies after which child the subtraction should occur.
Field	complex	required		Adds a new field to the content model.

NewElement	complex	required		Adds a new element to the content model.
<b>Subtract</b>				
Field	complex	required		Removes a field from the content model .
<b>Occur</b>				
Field	complex	required		Changes an optional field to required.
@minOccurs	integer	default	1	Overrides the minimum number of occurrences for this Field
@maxOccurs	integer	default	1	Overrides the maximum number of occurrences for this Field
<b>Field</b>				
@Name	string	required		Name of field to be modified
@Type	string	optional		Type of field, required only if contained in an Add tag
<b>UseElement</b>				
Name	string	required		Name of the element being used
<b>Comment</b>				
	string	optional		Ubiquitous. Records comments about the rules document at the location it appears. It is not intended to be output in the result document.
<b>Context</b>				
Region	string	*		Value of region context used in this rules document.
Industry				Value of industry context used in this rules document.
Process				Value of process context used in this rules document.
Product				Value of product context used in this rules document.
Legislative				Value of legislative context used in this rules document.
Role				Value of role context used in this rules document.

239 **7.1.1 Notes on Assembly**

240 The @minOccurs and @maxOccurs attributes on the Create element specify the  
 241 occurrence indicator that the created element will have in the resulting schema. Thus, an  
 242 element created with @min='1' @max='1' should be specified in the resulting schema as  
 243 an element that must occur only once.

244 An <Assembly> may contain more than one assembled document schema. Whether a  
 245 separate document is output for each assembled schema is implementation dependent.

246 Issue: Do we need to create attributes? If so, how?

247

248 **7.1.2 Notes on Context**

249 Several built-in variables are used to access context information. These variables

250 correspond to the various context drivers identified by the CCWG:

- 251 • Industry
- 252 • Process
- 253 • Product
- 254 • Region
- 255 • Legislative
- 256 • Role

257 All of these variables have values of type string.

258 The “Apply” attribute of the “Rule” element type is used for determining the behavior of  
 259 rules that use hierarchical value spaces. Possible values are “exact” (match only if the  
 260 value in the provided context is precisely the same as that specified in the rule) and  
 261 “hierarchical” (match if the value provided is the same or a child of that specified in the  
 262 rule). For example, if the rule specifies the region “Europe”, the value “France” would  
 263 match only if the “Apply” attribute is set to “hierarchical” (“exact” being the default).

264 The minOccurs and maxOccurs attributes of Field are defaulted. If neither is present, the  
 265 intent is to change an optional field into a required one (that is, it's a shortcut for  
 266 minOccurs="1", maxOccurs="1").

267

268 (also see ebXML The role of context in the re-usability of Core Components and  
 269 Business Processes Ver 1.0)

270 **7.2 DTD for Assembly Documents**

```

271 <!ELEMENT Assembly (Assemble+)>
272 <!ATTLIST Assembly
273     version CDATA #IMPLIED
274     id ID #IMPLIED
275     idref IDREF #IMPLIED
276 >
277
278 <!ELEMENT Assemble (CreateElement|CreateGroup)+>
279 <!ATTLIST Assemble
280     name CDATA #REQUIRED
281     id ID #IMPLIED
282     idref IDREF #IMPLIED
283 >
284 <!-- the name is the name of the schema that is created -->
    
```

```

285 <!ELEMENT CreateGroup
286 (CreateGroup|CreateElement|UseElement|Annotation)+ >
287 <!ATTLIST CreateGroup
288     type (sequence|choice) "sequence"
289     id ID #IMPLIED
290     idref IDREF #IMPLIED
291 >
292
293 <!ELEMENT CreateElement (Name?,
294 (CreateGroup|Rename|UseElement|Condition|Annotation)*)>
295 <!ATTLIST CreateElement
296     type CDATA #IMPLIED
297     minOccurs NUMBER #IMPLIED
298     maxOccurs CDATA #IMPLIED
299     id ID #IMPLIED
300     idref IDREF #IMPLIED
301     location CDATA #IMPLIED
302 >
303 <!-- you need either a Name sub-element and
304 an ID attribute, or just an IDREF attribute -->
305 <!-- max can be an integer or the word "unbounded" -->
306
307 <!ELEMENT Name (#PCDATA)>
308 <!ELEMENT Rename EMPTY>
309 <!ATTLIST Rename
310     from CDATA #REQUIRED
311     to CDATA #REQUIRED
312     id ID #IMPLIED
313     idref IDREF #IMPLIED
314 >
315
316 <!ELEMENT UseElement (Annotation|CreateGroup|UseElement)*>
317 <!ATTLIST UseElement
318     name CDATA #REQUIRED
319     id ID #IMPLIED
320     idref IDREF #IMPLIED
321 >
322
323 <!ELEMENT Condition (Rename|CreateGroup|UseElement|CreateElement)+>
324 <!ATTLIST Condition
325     test CDATA #REQUIRED
326     id ID #IMPLIED
327     idref IDREF #IMPLIED
328 >

```

### 329 **7.3 DTD for Context Rules Documents**

```

330 <!ELEMENT ContextRules (Rule+)>
331 <!ATTLIST ContextRules
332     version CDATA #IMPLIED
333     id ID #IMPLIED
334     idref IDREF #IMPLIED
335 >
336
337 <!ELEMENT Rule (Taxonomy+, Condition+)>

```

```

338 <!ATTLIST Rule
339     apply      (exact|hierarchical) exact
340             order    NUMBER    #IMPLIED
341             id       ID        #IMPLIED
342             idref    IDREF     #IMPLIED
343 >
344
345 <!ELEMENT Taxonomy      EMPTY>
346 <!ATTLIST Taxonomy
347     context CDATA #REQUIRED
348     ref     CDATA #REQUIRED
349     id      ID   #IMPLIED
350     idref   IDREF #IMPLIED
351 >
352 <!-- this ref should be a URI -->
353
354 <!ELEMENT Condition (Action|Condition|Occurs)+>
355 <!ATTLIST Condition
356     test    CDATA #REQUIRED
357     id      ID   #IMPLIED
358     idref   IDREF #IMPLIED
359 >
360
361 <!ELEMENT Action (Add|Occurs|Subtract|Condition|Comment|Rename)+>
362 <!ATTLIST Action
363     applyTo CDATA #REQUIRED
364     id      ID   #IMPLIED
365     idref   IDREF #IMPLIED
366 >
367
368 <!ELEMENT Add (Field|CreateGroup|Annotation)+>
369 <!ATTLIST Add
370     before CDATA #IMPLIED
371     after  CDATA #IMPLIED
372     id     ID   #IMPLIED
373     idref  IDREF #IMPLIED
374 >
375
376 <!-- before and after refer to the ID of the other element -->
377
378 <!ELEMENT Rename      EMPTY>
379 <!ATTLIST Rename
380     from    CDATA #REQUIRED
381     to      CDATA #REQUIRED
382     id      ID   #IMPLIED
383     idref   IDREF #IMPLIED
384 >
385
386 <!ELEMENT CreateGroup (Field)+>
387 <!ATTLIST CreateGroup
388     type (choice|sequence) sequence
389     id   ID   #IMPLIED
390     idref IDREF #IMPLIED
391 >
392

```

```

393 <!ELEMENT Field      (Annotation)*>
394 <!ATTLIST Field
395       name      CDATA  #REQUIRED
396       type      CDATA  #IMPLIED
397       id        ID    #IMPLIED
398       idref     IDREF #IMPLIED
399 >
400 <!-- why isn't name an IDREF that points to the ID of the element? -->
401
402 <!ELEMENT Annotation (Documentation)*>
403 <!ATTLIST Annotation
404       id        ID    #IMPLIED
405       idref     IDREF #IMPLIED
406 >
407
408 <!ELEMENT Documentation (#PCDATA)>
409 <!ATTLIST Documentation
410       id        ID    #IMPLIED
411       idref     IDREF #IMPLIED
412 >
413
414 <!ELEMENT Occurs (Field+)>
415 <!ATTLIST Occurs
416       minOccurs  NUMBER #IMPLIED
417       maxOccurs  CDATA  #IMPLIED
418       id        ID    #IMPLIED
419       idref     IDREF #IMPLIED
420 >
421
422 <!ELEMENT Subtract (Field+)>
423 <!ATTLIST Subtract
424       id        ID    #IMPLIED
425       idref     IDREF #IMPLIED
426 >

```

#### 427 **7.4 Example of Assembly Rules document**

```

428 <?xml version="1.0"?>
429 <!DOCTYPE Assembly SYSTEM "assembly.dtd">
430 <Assembly version="1.0">
431   <Assemble name="PurchaseOrder">
432     <CreateGroup>
433       <CreateElement type="PartyType" location="GUID" id="Buyer">
434         <Name>Buyer</Name>
435       <CreateGroup>
436         <UseElement name="Name">
437         </UseElement>
438         <UseElement name="Address">
439           <CreateGroup id="fred">
440             <CreateGroup type="choice">
441               <UseElement name="BuildingName">
442               </UseElement>
443               <UseElement name="BuildingNumber">
444               </UseElement>
445             </CreateGroup>

```

```

446         <UseElement name="StreetName">
447         </UseElement>
448         <UseElement name="City">
449         </UseElement>
450         <UseElement name="State">
451         </UseElement>
452         <UseElement name="ZIP">
453         </UseElement>
454         <UseElement name="Country">
455         </UseElement>
456     </CreateGroup>
457 </UseElement>
458 </CreateGroup>
459 <Condition test="Region='UK'">
460     <Rename from="address" to="addressUK"/>
461     <Rename from="City" to="Place"/>
462     <Rename from="address/State" to="County"/>
463     <Rename from="address/ZIP" to="PostalCode"/>
464 </Condition>
465 </CreateElement>
466 <CreateElement type="PartyType" id="Seller" location="GUID">
467     <Name>Seller</Name>
468 </CreateElement>
469 </CreateGroup>
470 <CreateElement minOccurs="1" maxOccurs="unbounded"
471     type="ItemType" location="GUID" id="Item">
472     <Name>Item</Name>
473 </CreateElement>
474 </Assemble>
475 <Assemble name="PurchaseOrderReceipt">
476     <CreateGroup>
477         <CreateElement idref="Seller">
478         </CreateElement>
479         <CreateElement idref="Buyer">
480         </CreateElement>
481     </CreateGroup>
482     <CreateElement idref="Item">
483     </CreateElement>
484     <CreateElement type="AckType" location="GUID"
485         id="Ack">
486         <Name>Acknowledgment</Name>
487     </CreateElement>
488 </Assemble>
489 </Assembly>

```

## 490 **7.5 Example of Context Rules Document**

```

491 <?xml version="1.0"?>
492 <!DOCTYPE ContextRules SYSTEM "contextrules.dtd">
493 <ContextRules>
494     <Rule apply="hierarchical">
495         <Taxonomy context="Region"
496             ref="http://ebxml.org/classification/ISO3166"/>
497         <Taxonomy context="Industry"
498             ref="http://ebxml.org/classification/industry/aviation"/>

```

```

499     <Condition test="Region='United States'">
500       <Action applyTo="Buyer/Address">
501         <Occurs>
502           <Field name="State">
503             </Field>
504           </Occurs>
505           <Add after="@id='fred'">
506             <CreateGroup type="choice">
507               <Field name="Floor" type="string">
508                 </Field>
509               <Field name="Suite" type="string">
510                 </Field>
511             </CreateGroup>
512           </Add>
513         <Condition test="Region='California' and Industry='Aerospace'">
514           <Occurs>
515             <Field name="ZIP">
516               </Field>
517             </Occurs>
518           </Condition>
519         </Action>
520       </Condition>
521     </Rule>
522     <Rule order="10"><Taxonomy context="Region"
523       ref="http://ebxml.org/classification/ISO3166"/>
524     <Condition test="Process='RFQ'">
525       <Condition test="Industry='Insurance'">
526         <Action applyTo="Party">
527           <Add before="Address">
528             <Field name="QualifyingInfo" type="QualifyingInfo">
529               <Annotation>
530                 <Documentation>What this element is for.
531                 </Documentation>
532               </Annotation>
533             </Field>
534           </Add>
535         </Action>
536       </Condition>
537       <Condition test="Industry='Travel'">
538         <Action applyTo="Party">
539           <Subtract>
540             <Field name="@TaxIdentifier">
541               </Field>
542           </Subtract>
543         </Action>
544       </Condition>
545     </Condition>
546   </Rule>
547   <Rule>
548     <Taxonomy context="Industry"
549       ref="http://ebxml.org/classification/Industry/Automotive"/>
550     <Condition test="Industry='Automotive'">
551       <Action applyTo="QualifyingInfo">
552         <Add>
553           <Field name="DrivingRecord" type="DrivingRecord">

```

```
554         </Field>
555         <Field name="CarDescription" type="CarDescription">
556         </Field>
557         <Field name="DrivingHabits" type="DrivingHabits">
558         </Field>
559     </Add>
560     <Rename from="@Convictions" to="@DrivingConvictions"/>
561 </Action>
562 </Condition>
563 </Rule>
564 </ContextRules>
```

## 565 **8 Rule Ordering**

566 There are two mechanisms for determining the order in which context rules should be  
567 applied. The first is document order, that is, the order in which the rules appear in the  
568 Rules document. The second is an explicit “Order” attribute that can be used to force a  
569 given order on a set of rules. It's an error for two rules have the same order. Users should  
570 be careful not to issue rules in an order that would preclude their execution (for instance,  
571 adding an attribute to an element that has not been added yet by the rules). Applications  
572 must issue error messages when such a situation is encountered, rather than silently  
573 ignoring it.

574 **9 Semantic Interoperability Document**

575 This section specifies an XML document format, the Semantic Interoperability  
 576 Document, that a processor applying assembly rules and context rules within a single  
 577 context can output. This serves two purposes:

- 578 • It creates a syntax-neutral output format, so that two processors working with  
 579 different syntax mappings could determine the semantic equivalence of their  
 580 context rules by comparing the output when expressed in this form.
- 581 • It provides a mechanism for mapping from a syntax-specific output back to the  
 582 syntax-neutral one, using techniques such as UUID pointers or Xpath expressions,  
 583 enabling implementation using existing tools.

584 This document type is expressed in the following DTD:

```

585
586 <!-- Semantic Interoperability Document Defintion -->
587 <!element Document (Taxonomy+, Assembly, ContextRules?, Component+) >
588 <!attlist Document
589         Name CDATA #REQUIRED
590         GUID CDATA #IMPLIED>
591 <!--the Document element holds metadata about the document:
592
593 - Taxonomy points to the specific context that, combined with context
594 rules and assembly rules, produced the specific instance.
595 The content of the Taxonomy element is the value or values specified
596 from the referenced context taxonomy.
597 - Assembly references the assembly that produced the instance.
598 - ContextRules references the context rules that produced the instance.
599
600 -->
601
602 <!element Taxonomy (#PCDATA)>
603 <!attlist Taxonomy
604         context CDATA #REQUIRED
605         ref CDATA #REQUIRED
606         UUID CDATA #IMPLIED>
607 <!element Assembly EMPTY>
608 <!attlist Assembly
609         Name CDATA #REQUIRED
610         Value CDATA #REQUIRED
611         UUID CDATA #IMPLIED>
612 <!element ContextRules EMPTY>
613 <!attlist ContextRules
614         Name CDATA #REQUIRED
615         Value CDATA #REQUIRED
616         UUID CDATA #IMPLIED>
617 <!element Component (Component | Group)*>
618 <!attlist Component
619         Name CDATA #REQUIRED
620         Type CDATA #IMPLIED
621         Occurrence CDATA #REQUIRED
622         Sequence CDATA #REQUIRED
623         UUID CDATA #IMPLIED>
624
    
```

```
625
626 <!-- - Type attribute must be included if the element is of a simple
627 type. If it is not provided, the name
628 value is assumed to be the same as the complex type name.
629 - Occurrence applies to the component itself and indicates how
630 often it occurs in the final schema.
631 It must be one of the following:
632     [no value is "one and only one"]
633     ?
634     +
635     *
636     n,m where n is minimum and m is maximum
637
638
639 - Sequence applies to the children of the component. It is information
640 in the context rules that must be kept, even
641 if not all syntaxes need it or support it. Values should be:
642     FollowedBy: the order in which the children are
643 specified is important, and is
644 the order in which they will be specified in the final schema.
645     AnyOrder: the order in which the children are specified
646 is not important, since the
647 final schema will allow them in any order. All of the children must be
648 present in a document written
649 according to the final schema.
650     Choice: the order in which the children are specified
651 is not important. Only one of the
652 children is allowed in a document written according to the final
653 schema.
654 -->
655 <!element Group (Component | Group)*>
656 <!attlist Group
657     Occurrence CDATA #REQUIRED
658     Sequence CDATA #REQUIRED
659 >
660 <!-- The Group element functions as a way of describing the structural
661 relationships among nested, unnamed groups of child components. The use
662 of its attributes are the same as for the Component elements.
663 -->
```

664 **10 Output Constraints**

665 Documents produced through the application of Assembly and Context Rules must  
666 contain information regarding which rules and context were used as metadata.

667

668

669 **11 References**

670 [XSL] <http://www.w3.org/Style/XSL>

**671 12 Disclaimer**

672 The views and specification expressed in this document are those of the authors and are  
673 not necessarily those of their employers. The authors and their employers specifically  
674 disclaim responsibility for any problems arising from correct or incorrect implementation  
675 or use of this design.

**676 13 Contact Information**

## 677 Team Leader

678 Name Arofan Gregory  
679 Company Commerce One  
680 Street Vallco Parkway  
681 city, state, zip/other Cupertino, CA  
682 Nation US  
683  
684 Phone:  
685 EMail: arofan.gregory@commerceone.com  
686

## 687 Vice Team Lead

688 Name Eduardo Gutentag  
689 Company SUN Microsystems  
690 Street 17 Network Circle – UMPK17-102  
691 city, state, zip/other Menlo Park, California  
692 Nation US  
693  
694 Phone: +1-650-786-5498  
695 EMail: Eduardo.Gutentag@eng.sun.com  
696

## 697 Editor

698 Name Gait Boxman  
699 Company TIE  
700 Street Beech Avenue 161  
701 city, state, zip/other Amsterdam (Schiphol-Rijk)  
702 Nation The Netherlands  
703  
704 Phone:  
705 EMail: gait.boxman@tie.nl  
706

707	<b>14 Copyright Statement</b>
708	Copyright © ebXML 2001. All Rights Reserved.
709	
710	To be defined