



Creating A Single Global Electronic Market

Message Service Specification

ebXML Transport, Routing & Packaging

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1 Status of this Document

This document specifies an ebXML DRAFT for the eBusiness community Distribution of this document is unlimited.

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http://www.ebxml.org/working/project_teams

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2 ebXML Participants

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4 Introduction

This is a draft standard for trial implementation. ~~The~~ This specification is the one of a series of specifications. The main specification that is yet to be developed is the ebXML Service Interface specification that describes, in a language independent way, how an application or other process can interact with software that complies with this ebXML Message Service specification. The ebXML Service Interface specification is being developed as a separate document. ~~It will be included in a later version of this specification or as an additional specification. It SHALL either be incorporated into a future version of this specification or referenced as an external specification as deemed most suitable by the ebXML Transport, Routing and Packaging project team.~~

4.1 Summary of Contents of Document

This specification defines the ebXML Message Service protocol that enables the secure and reliable exchange of messages between two parties. It includes descriptions of:

- the ebXML Message structure used to package payload data for transport between parties
- the behavior of the Message Service Handler that sends and receives those messages over a data communication protocol.

This specification is independent of both the payload and the communication protocol used, although Appendices to this specification describe how to use this specification with [HTTP] and [SMTP].

This specification is organized around the following topics:

- Packaging Specification – A description of how to package an ebXML Message and its associated parts into a form that can ~~be placed into the body of a sent using a~~ communications protocol such as HTTP or SMTP (section 7)
- Message Headers – A specification of the structure and composition of the information necessary for an ebXML Message Service to successfully generate or process an ebXML compliant message. This is represented as an XML document called the ebXML Header document (section 8)
- Message Service Handler Services – A description of two services that enable one service to discover the status of another Message Service Handler or an individual message (section 9)
- Reliable Messaging – The Reliable Messaging function defines an interoperable protocol such that any two Message Service implementations can “reliably” exchange messages that are sent using “reliable messaging” semantics (section 10)
- Error Handling – This section describes how one ebXML Message Service reports errors it detects to another ebXML Message Service Handler (section 11)
- Security – This provides a ~~complete~~ specification of the security ~~requirements semantics~~ for ebXML Messages (section 12).

Appendices to this specification cover the following:

- Appendix A Schemas and DTD Definitions – This contains [XML Schema] and [XML] Data Type Definitions for the ebXML Header document. Section A.1 is normative while Section A.2 is non-normative.
- Appendix B Examples – This contains a non-normative sample message content
- Appendix C Communication Protocol Envelope Mappings – This normative appendix describes how to transport ebXML Message Service compliant messages over [HTTP] and [SMTP]

- Appendix D ~~Reliable Messaging Protocol Logic~~—this non-normative appendix provides processing logic that describes the behavior of a Message Service Handler when sending or receiving messages with reliable delivery. Registration of MIME media type Application/Vendor Tree—vnd – This non-normative appendix contains the registration information that was forwarded to IANA to register the MIME subtype vnd.eb+xml.

4.2 Document Conventions

Terms in *Italics* are defined in the ebXML Glossary of Terms [Glossary]. Terms listed in **Bold Italics** represent the element and/or attribute content of the XML ebXMLHeader. Terms listed in Courier font relate to MIME components.

The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD, SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in this document, are to be interpreted as described in RFC 2119 [Bra97] as quoted here:-

Note that the force of these words is modified by the requirement level of the document in which they are used.

- *MUST: This word, or the terms "REQUIRED" or "SHALL", means that the definition is an absolute requirement of the specification.*
- *MUST NOT: This phrase, or the phrase "SHALL NOT", means that the definition is an absolute prohibition of the specification.*
- *SHOULD: This word, or the adjective "RECOMMENDED", means that there may exist valid reasons in particular circumstances to ignore a particular item, but the full implications must be understood and carefully weighed before choosing a different course.*
- *SHOULD NOT: This phrase, or the phrase "NOT RECOMMENDED", means that there may exist valid reasons in particular circumstances when the particular behavior is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behavior described with this label.*
- *MAY: This word, or the adjective "OPTIONAL", mean that an item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because the vendor feels that it enhances the product while another vendor may omit the same item. An implementation which does not include a particular option MUST be prepared to interoperate with another implementation which does include the option, though perhaps with reduced functionality. In the same vein an implementation which does include a particular option MUST be prepared to interoperate with another implementation which does not include the option (except, of course, for the feature the option provides.)*

4.3 Audience

The target audience for this specification is the community of software developers who will implement the ebXML Message Service.

4.4 Caveats and Assumptions

It is assumed that the reader has an understanding of transport protocols, MIME, XML and security technologies.

4.5 Related Documents

The following set of related specifications will be delivered in phases:

- ebXML Message Services Requirements Specification [EBebXMLMSREQ] – defines the requirements of ~~the~~ these Message Services

- 91 • ebXML Technical Architecture [EBXMLTA] – defines the overall technical architecture
92 for ebXML
- 93 • **ebXML Technical Architecture Security Specification [EBXMLTASEC]** – defines the
94 security mechanisms necessary to negate anticipated, selected threats
- 95 • **ebXML Collaboration Protocol Profile and Agreement Specification [EBXMLTP]**
96 (under development) - defines how one party can discover and/or agree upon the
97 information that party needs to know about another party prior to sending them a
98 message that complies with this specification
- 99 • **ebXML Message Service Interface Specification** (to be developed) - defines an
100 interface that may be used by software to interact with an ebXML Message Service
- 101 • ebXML Registry Services Specification [EBXMLRSS] – defines a registry service for
102 the ebXML environment

5 Design Objectives

~~The design objectives of this specification are to define a Message Service (MS) to support XML based electronic business between small, medium and large enterprises. The design objectives of this specification are to define a wire format and protocol for a Message Service (MS) to support XML-based electronic business between small, medium, and large enterprises. While the specification has been primarily designed to support XML-based electronic business, the authors of the specification have made every effort to ensure that non-XML business information is fully supported.~~ This specification is intended to enable a low cost solution, while preserving a vendor's ability to add unique value through added robustness and superior performance. It is the intention of the Transport, Routing and Packaging Project Team to keep this specification as straightforward and succinct as possible.

Every item in this specification will be prototyped by the ebXML Proof of Concept Team in order to ensure the ~~clarity and accuracy of this specification~~clarity, accuracy and efficiency of this specification.

6 System Overview

This document defines the ebXML Message Service (MS) component of the ebXML infrastructure. The ebXML Message Service defines the message enveloping and header document schema used to transfer ebXML Messages over a communication protocol such as HTTP, SMTP, etc. This document provides sufficient detail to develop software for the packaging, exchange and processing of ebXML Messages.

6.1 What the Message Service does

The ebXML Message Service defines robust, yet basic, functionality to transfer messages using various existing communication protocols. The ebXML Message Service will perform in a manner that will allow for reliability, persistence, security and extensibility.

The ebXML Message Service is provided for environments requiring a robust, yet low cost solution to enable electronic business. It is one of the three "infrastructure" components of ebXML that includes; the other two are Registry/Repository [ebXMLRegRep], Collaboration Protocol Profile/Agreement [ebXMLTP] and the ebXML Message Service.

6.2 Message Service Overview

The *ebXML Messaging Service* may be conceptually broken down into following three parts: (1) an abstract *Service Interface*, (2) functions provided by the *Messaging Service Layer*, and (3) the mapping to underlying transport service(s).

The following diagram depicts a logical arrangement of the functional modules that exist within the one possible implementation of the ebXML *Messaging Services* architecture. These modules are arranged in a manner to indicate their inter-relationships and dependencies.

- Header Processing - the creation of the ebXMLHeader document for the ebXML Message uses input from the application, passed through the Message Service Interface, information from the CPA that governs the message, and generated information such as digital signature, timestamps and unique identifiers.
- Header Parsing - extracting or transforming information from a received ebXMLHeader into a form that is suitable for processing by the MSH implementation.
- Security Services - digital signature creation and verification, authentication and authorization. These services MAY be used by other components of the MSH including the Header Processing and Header Parsing components.
- Reliable Messaging Services - handles the delivery and acknowledgment of ebXML Messages sent with deliverySemantics of OnceAndOnlyOnce. The service includes handling for persistence, retry, error notification and acknowledgment of messages requiring reliable delivery.
- Message Packaging - the final enveloping of an ebXML Message (ebXMLHeader and payload) into its MIME multipart/related container
- Error Handling - this component handles the reporting of errors encountered during MSH or Application processing of a message as well as processing of received messages that have an ErrorList element detailing an error reported by a foreign MSH on a message previously sent by the MSH.
- Notification - <rb>add additional text here for description </rb>
- Message Service Interface - an abstract service interface that applications use to interact with the MSH to send and receive messages and which the MSH uses to interface with applications that handle received messages.

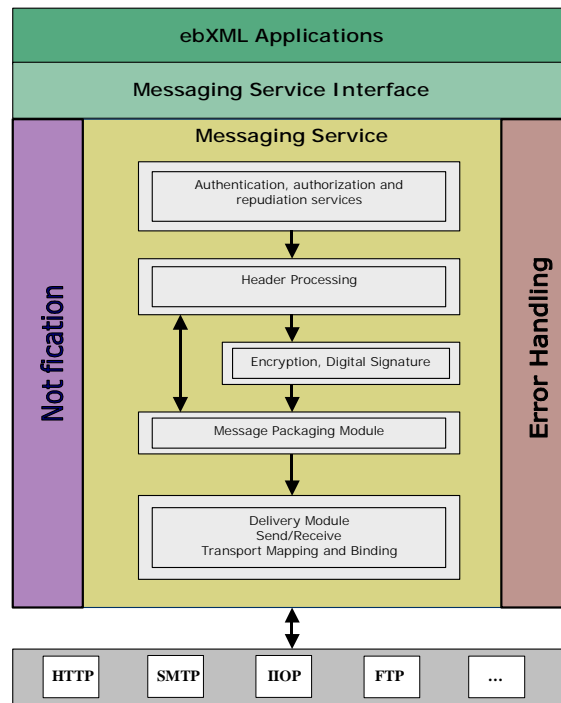


Figure 6-1 Typical Relationship between ebXML MSH Components

<DB>Diagram needs to be simplified and an explanation of these components needs to be provided. (Ralph & Chris)</DB>

7 Packaging Specification

7.1 Introduction

An ebXML Message consists of two parts:

- an outer Communication Protocol Envelope, such as HTTP or SMTP,
- an inner communication “protocol independent” ebXML Message Envelope, specified using MIME multipart/related, that contains the two main parts of the Message:
 - an ebXML Header Container that is used to envelope one ebXML Header Document,
 - ~~an optional, single~~ at most one ebXML Payload Container that MUST be used to envelope the actual payload (transferred data) of the Message Communication Protocol Envelope (SMTP, HTTP, etc)

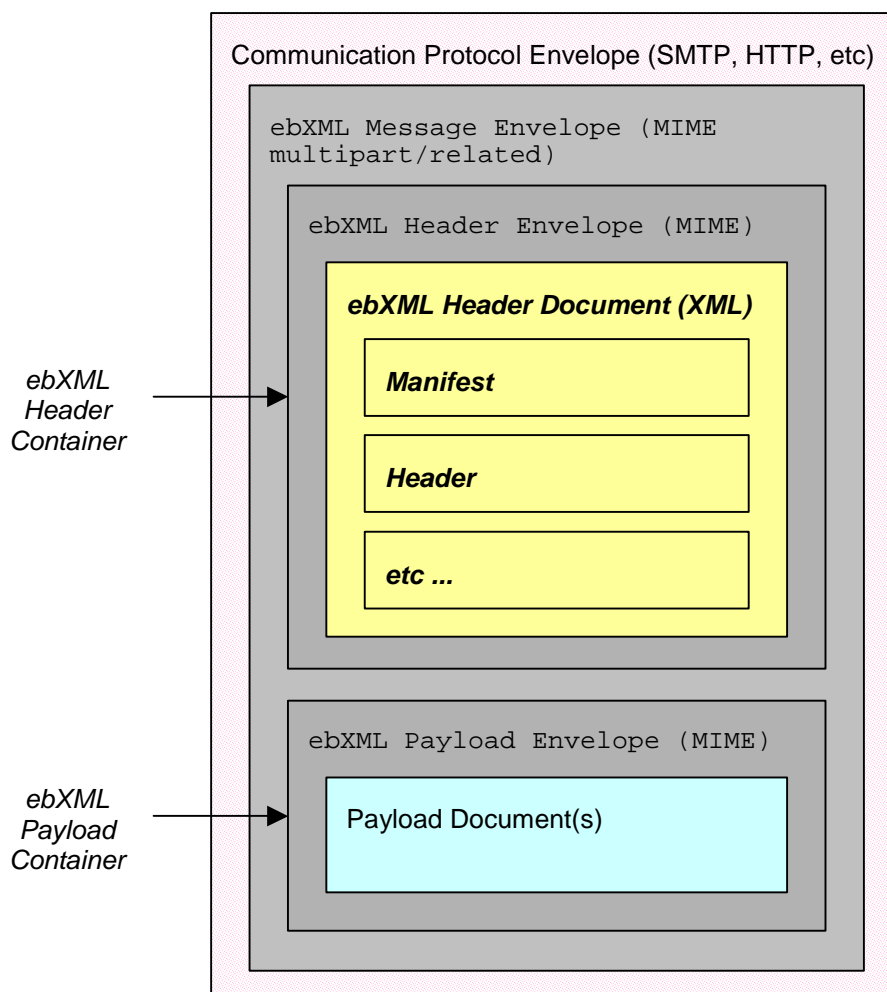


Figure 7-1 ebXML

Message Structure

7.1.1 ebXML Header Envelope and ebXML Payload Envelope

An ebXML Header Envelope and an ebXML Payload Envelope are constructed of standard MIME components.

The ebXML Header Envelope contains a single ebXMLHeader document (see section 8). The ~~ebXML Payload Envelope can contain any electronic data that can be transported within MIME contents of the ebXML Payload are determined by the user of the ebXML service.~~

Any special considerations for the usage of the ebXML Message Envelope in HTTP and SMTP transports are described in Appendix C.

7.1.2 MIME usage Conventions

~~Values associated with MIME header attributes are valid in both quoted and unquoted form. For example, the forms type="ebxml" and type=ebxml are both valid.~~

7.2 ebXML Message Envelope

The MIME structured *ebXML Message Envelope* is used to identify the message as an ebXML compliant structure and encapsulates the header and payload in MIME body parts. It MUST conform to [RFC2045] and MUST contain a Content-Type MIME header.

7.2.1 Content-Type

The MIME Content-Type MUST be set to multipart/related for all *ebXML Message Envelopes*. For example:

```
Content-Type: multipart/related;
```

The MIME Content-Type header contains three attributes:

- type
- boundary
- version

7.2.1.1 type Attribute

The MIME type attribute is used to identify the *ebXML Message Envelope* as an ebXML compliant structure. It conforms to a MIME XML Media Type [XMLMedia] and MUST be set to "application/vnd.eb+xml". This media type is derived from the application/xml type and shares many semantics with that type. To that type, application/vnd.eb+xml adds a specific application context, the ebXML Message Service. For example:

```
type="application/vnd.eb+xml"
```

7.2.1.2 boundary Attribute

The MIME boundary attribute is used to identify the body part separator used to identify the start and end points of each body part contained in the message. The MIME boundary SHOULD be chosen carefully in order to ensure that it does not occur within the content area of a body part see [RFC 2045] for guidance on how to do this. For example:

```
boundary:="-----8760boundaryValueHere"
```

7.2.1.3 version Attribute

The MIME version attribute indicates the version of the ebXML Message Service Specification to which the *ebXML Message Envelope* conforms. All message headers SHOULD USE "0.930.92". For example:

```
version="0.930.92"
```

7.2.2 ebXML Message Envelope Example

An example of a compliant *ebXML Message Envelope* header appears as follows:

```

225 Content-Type: multipart/related; type="application/vnd.eb+xml"; boundary:="-----
226 8760boundaryValue";

```

227 7.3 ebXML Header Container

228 The *ebXML Header Container* is a MIME body part that MUST consist of:

- 229 • one *ebXML Header Envelope*, that contains
- 230 • one XML *ebXML Header document* (see section 8).

231 The following rules apply:

- 232 • the *ebXML Header Container* MUST be the first MIME body part in the *ebXML Message*.
- 233 • there MUST be one and only one *ebXML Header Document* in each *ebXML Message*.

234 Note that, an *ebXML Payload Container* may be a completely encapsulated *ebXML Message*.

235 The MIME based *ebXML Header Envelope* conforms to [RFC 2045] and MUST consist of the
 236 following MIME headers:

- 237 • Content-ID
- 238 • Content-Type

239 7.3.1 Content-ID

240 The Content-ID MIME header identifies this instance of an ebXML Message header body part.
 241 The value for Content-ID SHOULD be a unique identifier, in accordance with RFC 2045. For
 242 example:

```

243 Content-ID: <2000-0722-161201-123456789@ebxmlhost.realmexample.com>
244

```

245 7.3.2 Content-Type

246 The MIME Content-Type for an ebXML header is identified with the value
 247 "application/vnd.eb+xml". Content-Type contains two attributes:

- 248 • version
- 249 • charset

250 7.3.2.1 version Attribute

251 The MIME version attribute indicates the version of the ebXML Message Service Specification
 252 to which the *ebXML Header Envelope* and *ebXML Header Document* conform. All message
 253 headers MUST USE "0.930.92". Future versions of this specification may require other values of
 254 this attribute. However, the value specified here MUST match that specified in the version
 255 attribute of the *ebXML Header Document* for all versions of this specification. For example:

```

256 version="0.930.92";
257

```

258 7.3.2.2 charset Attribute

259 The MIME charset attribute identifies the character set used to create the *ebXML Header*
 260 *Document*. The semantics of this attribute are described in the "charset parameter / encoding
 261 considerations" of application/xml as specified in [XML/Media]. The list of valid values can
 262 be found at <http://www.iana.org/>.

If both are present, the MIME `charset` attribute SHALL be equivalent to the encoding declaration of the ebXML Header Document (see section 8). If provided, the MIME `charset` attribute MUST NOT contain a value conflicting with the encoding used when creating the ebXML Header Document. For maximum interoperability it is RECOMMENDED that [UTF-8] be used when encoding this document. Due to the processing rules defined for media types derived from `application/xml` [XMLMedia], this MIME attribute has no default. For example:

```
charset="UTF-8"
```

7.3.3 ebXML Header Envelope Example

The following represents an example of an *ebXML Header Envelope* and *ebXML Header Document*:

Content-ID: ebxmlheader-123@ ebxmlhost.reexample.com im	--	MIME ebXML	
Content-Type: application/vnd.eb+xml;		Header Envelope	
version="0.930-92"; charset="UTF-8"	- --	ebXML	
		Header	
		Container	
<ebXMLHeader>	-----		
<Manifest>.....		XML ebXML Header	
</Manifest>		Document	
<Header>.....			
</Header>			
<Routing Header>.....			
</Routing Header>			
</ebXMLHeader>	-----		

A complete example of an *ebXML Header Container* is presented in Appendix B. That example includes the `charset` attribute and portions of an *XML Prolog* (see sect 8.1), ~~none-neither~~ of which is required to appear in an *ebXML Header Container* or *ebXML Header Document*. Appendix B also includes the outer *ebXML Message Envelope* and a complete (valid) **ebXMLHeader** element rather than the outline shown above.

7.4 ebXML Payload Container

If the *ebXML Message* contains a payload, then a single *ebXML Payload Container* MUST be used to envelop it.

If there is no payload within the *ebXML Message* then the *ebXML Payload Container* MUST not be present.

The contents of the *ebXML Payload Container* MUST be identified by the *Message Manifest* element within the *ebXML Header Document* (see section 8.3).

If the *Message Manifest* is an empty XML element, the *ebXML Payload Container* MUST NOT be present in the *ebXML Message*.

~~If an ebXML Payload Container is present, it MUST conform to MIME [RFC2045] and MUST consist of:~~

- ~~—a MIME header portion—the ebXML Payload Envelope, and~~
- ~~• a content portion—the payload itself that may be of any valid MIME type.~~

If an ebXML Payload Container is present, it MUST conform to MIME [RFC2045] and MUST consist of a single payload MIME object that may be any valid MIME type including any of the MIME multipart/* types.

The *ebXML MIME Payload Envelope*, MUST consist of the following MIME headers:

- Content-ID
- Content-Type

The *ebXML Message Service Specification* makes no provision, nor limits in any way the structure or content of payloads. ~~Payloads MAY be a simple plain-text object or complex nested~~

~~multipart objects. This is the implementer's decision. Payloads MAY be a simple plain-text object or complex nested multipart objects. The specification of the structure and composition of payload objects is the prerogative of the organization that defines the business process or information exchange that uses the ebXML Message Service.~~

7.4.1 Content-ID

The Content-ID MIME Header is used to uniquely identify an instance of an *ebXML Message* payload body part. The value for Content-ID SHOULD be a unique identifier, in accordance with MIME [RFC 2045]. For example:

```
Content-ID: <2000-0722-161201-123456789@ebxmlhost.realmexample.com>
```

7.4.2 Content-Type

~~The MIME Content-Type for an ebXML payload is determined by the implementer and is used to identify the type of data contained in the content portion of the ebXML Payload Container. The MIME Content-Type MUST conform to [RFC2045]. For example~~
The MIME Content-Type for an ebXML Payload Container is used to specify the media type and subtype of data in the body of the ebXML Payload Container. The value of this MIME parameter is determined by the organization that defines the business process or information exchange. The value selected SHOULD be chosen from the list of registered MIME media types found at: <ftp://isi.edu/in-notes/iana/assignments/media-types/>. The MIME Content-Type MUST conform to [RFC2045]. For example:

```
Content-Type: application/xml
```

7.4.3 Example of an ebXML MIME Payload Container

The following represents an example of an *ebXML MIME Payload Envelope* and a payload:

Content-ID: ebxmlpayload-123@ebxmlhost.realmdomainname.example.com			
ebXML MIME			
Content-Type: application/xml	-----	Payload Envelope	ebXML Payload Container
<Invoice>	-----		
<Invoicedata>.....		Payload	
</Invoicedata>			
</Invoice>	-----		

A complete example of the ebXML Payload Container is presented in Appendix XX.

7.5 Additional MIME Parameters

Any MIME part described by this specification MAY contain additional MIME parameters in conformance with the [MIME RFC2045] specification. Implementations MAY ignore any MIME parameter not defined in this specification. Implementations MUST ignore any MIME parameter that they do not recognize.

For example, an implementation could include content-length in a message. However, a recipient of a message with content-length could ignore it.

7.6 Reporting MIME Errors

If a MIME error is detected in the *ebXML Header Envelope* or the *ebXML Payload Envelope* then it MUST be reported by sending an ebXML message containing an **ebXMLHeader** element with an **ErrorList** element (see section 8.8) where **errorCode** is set to **MimeProblem** and a **severity** set to **Error**. See section 11 for more details on how to indicate an error.

8 ebXML Header Document

The ebXML Header Document is a single [XML] document with a number of principal header-elements. In general, separate principal-header elements are used where:

- different software components are likely to be used to generate that header-element,
- the element is not always present,
- the structure of the header element might vary independently of the other header-elements, or
- the data contained in the header-element MAY need to be digitally signed separately from the other header-elements.

8.1 XML Prolog

The ebXML Header Document's XML Prolog MAY contain an XML declaration or a document type declaration. This specification has defined no additional comments or processing instructions that may appear in the XML prolog. For example:

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ebXMLHeader SYSTEM "levell-10122000.dtd">
<ebXMLHeader>...</ebXMLHeader>
```

8.1.1 XML Declaration

The XML declaration MAY be present in an ebXML Header Document. If present, it MUST contain the version specification required by the XML Recommendation [XML]: version='1.0' and MAY contain an encoding declaration and standalone document declaration. The semantics described below MUST be implemented by a compliant ebXML Message Service.

8.1.2 Encoding Declaration

<DB>This section isn't clear to me. I really could not work out what is or is not valid and what do you do if you get an inconsistency between the XML prolog and the MIME header. e.g. do you ignore it, assume a value or report an error.</DB>

If both *<DB>*the encoding declaration and the MIME charset?*</DB>* are present, the XML prolog for the ebXML Header Document SHALL contain the encoding declaration that SHALL be equivalent to the `charset` attribute of the MIME Content-Type of the ebXML Message Header Container (see section 7.3).

If provided, the encoding declaration MUST NOT contain a value conflicting with the encoding used when creating the ebXML Header Document. It is RECOMMENDED that UTF-8 be used when encoding the ebXML Header Document.

If the character encoding cannot be determined by an XML processor using the rules specified in section 4.3.3 of [XML], the XML declaration and its contained encoding declaration SHALL be provided in the ebXML Header Document.

NOTE: The encoding declaration is not required in an XML document according to the XML version 1.0 specification [XML].

For example:

```
Content-Type:application/vnd.eb+eml; version "0.93"; charset="UTF-8"
<?xml version="1.0" encoding="UTF-8"?>
```

8.1.3 Standalone Document Declaration

The standalone document declaration, if present, MAY appear as ***standalone='yes'*** if and only if all of the validity requirements specified in section 2.9 of the XML Recommendation [XML] are met. It is RECOMMENDED that ebXML Header Documents omit this declaration.

~~<DB>What do you do if the XML Recommendation is not met?</DB>~~

8.1.4 Document Type Declaration

When the *ebXML Header Document* will or may be processed by an XML processor not compliant with the XML Schema Recommendation [XMLSchema], a document type declaration containing a SYSTEM identifier of "level1-10122000.dtd" MUST be included. For example:

```
<!DOCTYPE ebXMLHeader SYSTEM "level1-10122000.dtd">
```

~~1. Do we need to mandate use of a DOCTYPE and then maybe remove it in a later version of the spec when everyone is using XML Schema
2. Do we need to be prescriptive about what goes in the Prolog depending on whether we have a DTD or XSD. (Saikat)</DB>~~

8.2 ebXMLHeader Element

The root element of the *ebXML Header Document* is named the **ebXMLHeader**. Its structure is described below.

8.2.1 ebXMLHeader attributes

There are two attributes defined for the **ebXMLHeader** element, they are as follows:

- Namespace (xmlns)
- Version

Additional namespace declarations and namespace-qualified attributes from foreign namespaces MAY be added to support extensions to the **ebXMLHeader** document.

8.2.1.1 Namespace attribute

The namespace declaration (xmlns) (see [XML Namespace]) has a REQUIRED value of "http://www.ebxml.org/namespaces/messageHeader".

8.2.1.2 version attribute

The required **version** attribute indicates the version of the ebXML Message Service Specification to which the *ebXML Header Document* conforms. Its purpose is to provide for future versioning capabilities. All *ebXML Header Documents* MUST USE "0.930.92". Future versions of this specification SHALL require other values of this attribute. However, the value specified here MUST match that specified in the MIME *version* attribute of the *ebXML Header Envelope* for all versions of this specification.

8.2.2 ebXMLHeader elements

An ebXML Header Document consists of the following principal header elements:

- **Manifest** – an element that points to any data present either in the *ebXML Payload Container* or elsewhere, e.g. on the web
- **Header** – a REQUIRED element that contains routing information for the message (To/From, etc.) as well as other context information about the message
- **RoutingHeaderList** – an element that contains entries that identify the Message Service Handler (MSH) that sent and should receive the message. This element can be omitted.
- **ApplicationHeaders** – an element that can be used by a process or service to include additional information that needs to be associated with the data in the *ebXML Payload* but is not contained within it that the MSH MUST make available to the application processing the ebXML Payload Container
- **StatusData** – an element that is used by a MSH when responding to a request on the status of a message that was previously received

- **ErrorList** – an element that contains a list of the errors that ~~have been found in a message~~ are being reported against a previous message
- **Acknowledgment** – an element that is used by a ~~MSH to indicate that a message has been received~~ receiving MSH to acknowledge to the sending MSH that a previous message has been received
- **Signature** – an element that contains a digital signature that conforms to [XMLDSIG] that signs data associated with the message
- **#wildcard** - any namespace-qualified element content belonging to a foreign namespace

8.2.3 Combining Principal Header Elements

This section describes how the various principal header elements may be used in combination.

8.2.3.1 Manifest element

The **Manifest** element MUST be present if there is any data associated with the message that is not present in the *ebXML Header Document*. This applies specifically to data in the *ebXML Payload Container* or elsewhere, e.g. on the web.

8.2.3.2 Header element

The **Header** element MUST be present in every message.

8.2.3.3 RoutingHeaderList element

The **RoutingHeaderList** element MAY be present in any message. It MUST be present if the message is being sent reliably (see section 10) or over multiple hops (see section 8.5.3).

8.2.3.4 ApplicationHeaders element

The **ApplicationHeaders** element MAY be present on any message except a message that contains an **ErrorList** element with a **highestSeverity** attribute set to **Error**.

8.2.3.5 StatusData element

This element MUST NOT be present with the following elements:

- a **Manifest** element
- an **ErrorList** element with a **highestSeverity** attribute set to **Error**

8.2.3.6 ErrorList element

If the **highestSeverity** attribute on the **ErrorList** is set to **Warning**, then this element MAY be present with any other element.

If the **highestSeverity** attribute on the **ErrorList** is set to **Error**, then this element MUST NOT be present with the following:

- a **Manifest** element
- an **ApplicationHeaders** element
- a **StatusData** element

8.2.3.7 Acknowledgment element

An **Acknowledgment** element MAY be present on any message.

8.2.3.8 Signature element

A **Signature** element MAY be present on any message.

8.2.3.9 #wildcard element content

Any namespace-qualified element content MAY be added to provide for the extensibility of the ebXMLHeader. Extension element content MUST be namespace-qualified in accordance with [XMLNamespaces] and MUST belong to a foreign namespace. A foreign namespace is one that is NOT <http://www.ebxml.org/namespaces/messageHeader>.

Any namespace-qualified element added SHOULD include the global **mustUnderstand** attribute. If the **mustUnderstand** attribute is NOT present, the default value implied is 'false'. If an implementation of the MSH does not recognize the namespace of the element and the value of the **mustUnderstand** attribute is 'true' then the MSH SHALL respond with a message that includes an **errorCode** of **NotSupported** in an **Error** element as defined in section 8.8. If the value of the **mustUnderstand** attribute is 'false' or if the **mustUnderstand** attribute is not present then an implementation of the MSH MAY ignore the namespace-qualified element and its content.

8.2.4 ebXMLHeader sample

The following is a sample **ebXMLHeader** document fragment demonstrating the overall structure:

```
<?xml version="1.0" encoding="UTF-8"?>
<ebXMLHeader xmlns="http://www.ebxml.org/namespaces/messageHeader" Version="0.930-92" >
  <Manifest>...</Manifest>
  <Header>...</Header>
  <RoutingHeaderList>...</RoutingHeaderList>
</ebXMLHeader>
```

8.3 Manifest element

The **Manifest** element is a composite element consisting of one or more **Reference** elements. Each **Reference** element identifies data associated with the message, whether included as part of the message as payload document(s) contained in the *ebXML Message Container*, or remote resources accessible via a URL. The **Manifest** element, if present, SHALL be the first child element of the **ebXMLHeader**. The purpose of the **Manifest** is as follows:

- to make it easier to directly extract a particular document associated with this *Message*,
- to enable a MSH to check the integrity of a *Message*
- to allow an application to determine whether it can process the payload without having to parse it.

The **Manifest** element MUST have a single attribute: **id** that is an XML ID.

8.3.1 Reference element

The **Reference** element is a composite element consisting of the following subordinate elements:

- **Schema** - information about the schema(s) that define the instance document identified in the parent **Reference** element
- **Description** - a textual description of the payload object referenced by the parent **Reference** element
- **#wildcard** - any namespace-qualified element content belonging to a foreign namespace

The **Reference** element itself is an [XLINK] simple link. XLINK is presently a Candidate Recommendation (CR) of the W3C. It should be noted that the use of XLINK in this context is chosen solely for the purpose of providing a concise vocabulary for describing an association. Use of an XLINK processor or engine is NOT REQUIRED, but MAY prove useful in certain implementations.

The **Reference** element has the following attribute content in addition to the element content described above:

- **id** - a REQUIRED XML ID for the **Reference** element,

- 535 • **xlink:type** - this attribute defines the element as being an XLINK simple link. It has a
536 fixed value of 'simple',
- 537 • **xlink:href** - this REQUIRED attribute has a value that is the URI of the payload object
538 referenced. It SHALL conform to the [XLINK] specification criteria for a simple link,
- 539 • **xlink:role** - this attribute identifies some resource that describes the payload object or its
540 purpose. If present, then it SHALL have a value that is a valid URI in accordance with the
541 [XLINK] specification,
- 542 • Any other namespace-qualified attribute MAY be present. A receiving MSH MAY choose
543 to ignore any foreign namespace attributes other than those defined above.

544 8.3.1.1 Schema element

545 If the item being referenced has schema(s) of some kind that describe it (e.g. an XML Schema,
546 DTD or a database schema), then the **Schema** element SHOULD be present as a child of the
547 **Reference** element. It provides a means of identifying the schema, and its version, that defines
548 the payload object identified by the parent **Reference** element. The Schema element contains the
549 following attributes:

- 550 • **location** - the REQUIRED URI of the schema
- 551 • **version** – a version identifier of the schema

552 8.3.1.2 Description element

553 The **Reference** element MAY contain zero or more **Description** elements. The **Description** is a
554 textual description of the payload object referenced by the parent **Reference** element. The
555 language of the description is defined by a REQUIRED **xml:lang** attribute. The **xml:lang** attribute
556 MUST comply with the rules for identifying languages specified in [XML]. This element is provided
557 to allow a human readable description of the payload object identified by the parent Reference
558 element. If multiple Description elements are present, each SHOULD have a unique **xml:lang**
559 attribute value. An example of a **Description** element follows.

```
560 <Description xml:lang="en-gb">Purchase Order for 100,000 widgets</Description>
```

561 8.3.1.3 #wildcard element

562 Refer to section 8.2.3.9 for discussion of #wildcard element handling.

563 8.3.2 What References are Included in a Manifest

564 The designer of the ~~protocol or application~~ **business process or information exchange** that is using
565 ebXML Messaging decides what payload data is referenced by the Manifest and the values to be
566 used for **xlink:role**.

567 8.3.3 Manifest Validation

568 If an **xlink:href** attribute contains a URI that is a content id (URI scheme "cid") then a MIME
569 part with that **content-id** MUST be present in the *ebXML Payload Container* of the message.
570 If it is not, then the error SHALL be reported to the *From Party* with an **errorCode** of
571 **MimeProblem** and a **severity** of **Error**.

572 If an **xlink:href** attribute contains a URI that is not a content id (URI scheme "cid") and that URI
573 cannot be resolved, then it is an implementation decision on whether to report the error. If the
574 error is to be reported, then it SHALL be reported to the *From Party* with an **errorCode** of
575 **MimeProblem** and a **severity** of **Error**.

576 8.3.4 Manifest sample

577 The following fragment demonstrates a typical **Manifest** for a message with a single payload
578 MIME body part:
579

```

580 <Manifest id="Manifest">
581   <Reference id="pay01"
582     xlink:href="cid:payload-1"
583     xlink:role="http://regrep.org/gci/purchaseOrder">
584     <Description>Purchase Order for 100,000 widgets</Description>
585     <Schema location="http://regrep.org/gci/purchaseOrder/po.xsd"
586       version="1.0"/>
587   </Reference>
588 </Manifest>

```

8.4 Header element

The **Header** element immediately follows the **Manifest** element. It is REQUIRED in all **ebXMLHeader** documents. The **Header** element is a composite element comprised of the following subordinate elements:

- **From**
- **To**
- **CPAId**
- **ConversationId**
- **Service**
- **Action**
- **MessageData**
- **QualityOfServiceInfo**
- **SequenceNumber**
- **Description**
- **#wildcard**

~~The Header attribute MAY have an attribute: **id** that is of type XML ID.~~

8.4.1 From and To elements

The REQUIRED **From** element identifies the *Party* that originated the message. The REQUIRED **To** element identifies *Party* that is the intended recipient of the message. Both **To** and **From** can be logical identifiers such as a DUNS number or identifiers that also imply a physical location, such as an email address.

The **From** and the **To** elements have a single child element, **PartyId**.

The **PartyId** element has a single attribute, **type** and content that is a string value. If the **type** attribute is present, then it MUST be a URN. It indicates the domain of names to which the string, in the content of the **From** or **To** element, belongs.

If the **PartyId type** attribute is not present, the content of the **PartyId** element MUST be an URI [RFC 2396] otherwise report an error (see section 11) with **errorCode** set to **Inconsistent** and **severity** set to **error**. It is strongly RECOMMENDED that the content be an URN.

The following fragment demonstrates usage of the **From** and **To** elements. The first illustrates a user-defined numbering scheme, and the second a URN.

```

620 <From>
621   <PartyId type="urn:duns.com">1234567890123</PartyId>
622 </From>
623 <To>
624   <PartyId>smtp:joe@example.com</PartyId>
625 </To>

```

8.4.2 CPAId element

The REQUIRED **CPAId** element is a string that identifies the *Collaboration Protocol Agreement* (CPA) that governs the processing of the message. ~~It MUST be an identifier that~~ The identifier

629 ~~MUST be~~ unique within the ~~combination of the From and To Parties~~ domain of the names chosen
630 ~~by the Parties.~~

631 A *Party* that receives the message, must be able to resolve the **CPAId** to the CPA instance as
632 information in the CPA is used, for example, by Reliable Messaging (see section 10). It is
633 therefore RECOMMENDED that the **CPAId** is a URI.

634 ~~<DB>The usage of CPAIds is not resolved. There are issues around using CPAs in the area of~~
635 ~~multiple hops and requiring use of URIs (or URLs).</DB>~~

636 8.4.3 ConversationId element

637 The REQUIRED **ConversationId** element is a string that identifies the set of related messages
638 that make up a conversation between two **Parties**. The **Party** that initiates a conversation
639 determines the value of the **ConversationId** element that shall be reflected in all messages
640 pertaining to that conversation.

641 The **ConversationId** enables the recipient of a message to identify the instance of an application
642 or process that generated or handled earlier messages within a conversation. It remains constant
643 for all messages within a conversation.

644 The value used for a **ConversationId** is implementation dependent.

645 Note that implementations are free to choose how they will identify and store conversational state
646 related to a specific Conversation. Implementations SHOULD provide a facility for mapping
647 between their identification schema and a ConversationId generated by another implementation.

648 ~~<DB>I think that this last sentence should be deleted as it requiring implementation behavior that~~
649 ~~is an implementation decision.</DB>~~

650 8.4.4 Service element

651 The REQUIRED **Service** element identifies the service that acts on the message. It is specified
652 by the designer of the service. The designer of the service may be:

- 653 • a standards organization, or
- 654 • an individual or enterprise

655 Note that in the context of an ebXML Business Process model, a **Service** element identifies a
656 Business Transaction. ~~<DB>This definition needs to go in the glossary</DB>~~

657 The **Service** element has a single **type** attribute.

658 8.4.4.1 type attribute

659 If the **type** attribute is present, then it indicates that the parties that are sending and receiving the
660 message know, by some other means, how to interpret the content of the **Service** element. The
661 two parties MAY use the value of the **type** attribute to assist in the interpretation.

662 If the **type** attribute is not present, the content of the **Service** element MUST be a URI [RFC
663 2396]. If it is not a URI then report an error with an **errorCode** of **Inconsistent** and a **Severity** of
664 **Error** (see section 11).

665 8.4.4.2 ebXML Message Service namespace

666 URIs in the **Service** element that start with the namespace:

667 **http://www.ebxml.org/namespaces/messageService** are reserved for use by this specification.

668 8.4.5 Action element

669 The REQUIRED **Action** element identifies a process within a **Service** that processes the
670 Message. **Action** SHALL be unique within the **Service** in which it is defined.

8.4.6 MessageData element

The REQUIRED **MessageData** element provides a means of uniquely identifying an ebXML Message. It contains the following ~~three~~ four elements:

- **MessageId**
- **Timestamp**
- **RefToMessageId**
- **TimeToLive**

8.4.6.1 MessageId element

The REQUIRED element **MessageId** is a unique identifier for the message conforming to [RFC2392]. The "local part" of the identifier as defined in [RFC2392] is implementation dependent.

8.4.6.2 Timestamp element

The **Timestamp** is a value representing the time that the message header was created conforming to [ISO-8601]. The format of CCYYMMDDTHHMMSS.SSSZ is REQUIRED to be used. This time format is Coordinated Universal Time (UTC).

~~<DB>Should we make this compliant with an XML Schema timeInstant instead? </DB>~~

8.4.6.3 RefToMessageId element

The **RefToMessageId** element has a cardinality of zero or one. When present, it MUST contain the **MessageId value** of an earlier ebXML Message to which this message relates. If there is no earlier related message, the element MUST NOT be present.

For Error messages, the **RefToMessageId** element is REQUIRED and its value MUST be the **MessageId** value of the *message in error* (as defined in section 8.8).

For Acknowledgment Messages, the **RefToMessageId** element is REQUIRED, and its value MUST be the **MessageId value** of the ebXML Message being acknowledged. See also sections 8.2.3.7 and 10.

8.4.6.4 TimeToLive element

The TimeToLive element indicates the time by which a message should be delivered to and processed by the To Party.

In this context, the TimeToLive has expired if the time of the internal clock of the MSH that receives a message is greater than the value of TimeToLive for the message.

When setting a value for TimeToLive it is RECOMMENDED that the From Party takes into account the accuracy of its own internal clocks as well as the MSH TimeAccuracy parameter for the Receiving MSH (see section 10.6.5.3) that indicates the accuracy to which a MSH will keep its internal clocks. How a MSH ensures that its internal clocks are kept sufficiently accurate is an implementation decision.

If the TO Party's MSH receives a message where TimeToLive has expired, it SHALL send a message to the From party MSH, reporting that the TimeToLive of the message has expired. This message SHALL be comprised of:

- A Payload that consists of the ebXML message that expired;
- An ErrorList containing an Error that has the errorCode attribute set to TimeToLiveExpired, and the severity attribute set to Error.

8.4.7 QualityOfServiceInfo element

The **QualityOfServiceInfo** element identifies the quality of service with which the message is delivered. This element has ~~four~~four attributes:

- **deliverySemantics**
- **messageOrderSemantics**
- **deliveryReceiptRequested**
- **syncReplyMode**, and
- ~~• **timeToLive**.~~

The **QualityOfServiceInfo** element ~~is~~MAY be present if any of the attributes within the element need to be set to their non-default value.

8.4.7.1 deliverySemantics attribute

The **deliverySemantics** attribute, if present, over-rides the value of the same parameter in the CPA. If it is not present, the value in the CPA MUST be used.

The **deliverySemantics** parameter/element MUST be used by the *From Party* MSH to indicate whether the Message must be sent reliably. Valid Values are:

- **OnceAndOnlyOnce**. The message must be sent using a **reliableMessagingMethod** that will result in the application or other process at the *To Party* receiving the message once and only once
- **BestEffort** The reliable delivery semantics are not ~~specified~~used. In this case the value of **reliableMessagingMethod** is ignored.

The default value for **deliverySemantics** is specified in the CPA. If no value is specified in the CPA then the default value is **BestEffort**.

If **deliverySemantics** is set to **OnceAndOnlyOnce** then the *From Party* MSH and the *To Party* MSH must adopt the Reliable Messaging behavior (see section 10) that describes how messages are resent in the case of failure and duplicates are ignored.

If **deliverySemantics** is set to **BestEffort** then a MSH that received a message that it is unable to deliver MUST NOT take any action to recover or otherwise notify anyone of the problem, and the MSH that sent the message must not attempt to recover from any failure.

This means that duplicate messages might be delivered to an application and persistent storage of messages is not required.

If the *To Party* is unable to support the type of Delivery Semantics requested, then the *To Party* SHOULD report the error to the *From Party* using an **ErrorCode** of **NotSupported** and a **Severity** of **Error**.

~~<QualityOfServiceInfo deliverySemantics="OnceAndOnlyOnce"/>~~

8.4.7.1 messageOrderSemantics attribute

The **messageOrderSemantics** attribute, if present, over-rides the value of the same parameter in the CPA. If it is not present, the value in the CPA MUST be used.

The **messageOrderSemantics** parameter/attribute MUST be used by the *From Party* MSH to indicate whether the Message is passed to the receiving application in the order which the sending application specified. Valid Values are:

- **Guaranteed**. The messages are passed to the receiving application in the order which the sending application specified.
- **NotGuaranteed** The messages may be passed to the receiving application in different order from the order which sending application specified.

The default value for **messageOrderSemantics** is specified in the CPA. If no value is specified in the CPA then the default value is **NotGuaranteed**.

If **messageOrderSemantics** is set to **Guaranteed** then the *To Party* MSH MUST correct invalid order of messages using the value of **SequenceNumber** in the conversation specified the **ConversationId**. The **Guaranteed** semantics can be set only when **deliverySemantics** is **OnceAndOnlyOnce**. If **deliverySemantics** is not **OnceAndOnlyOnce** then report the error to the *From Party* with an **errorCode** of **Inconsistent** and a **severity** of **Error** (see section **Error! Reference source not found**, 10).

If **deliverySemantics** is set to **NotGuaranteed**, then the *To Party* MSH does not need to correct invalid order of messages. If the *To Party* is unable to support the type of **MessageOrderSemantics** requested, then the *To Party* MUST report the error to the *From Party* using an **ErrorCode** of **NotSupported** and a **Severity** of **Error**. A sample of **messageOrderSemantics** follows.

```
<QualityOfServiceInfo deliverySemantics="OnceAndOnlyOnce" messageOrderSemantics="Guaranteed" />
```

8.4.7.2 DeliveryReceiptRequested attribute

The **deliveryReceiptRequested** attribute, if present, over-rides the value of the same parameter in the CPA. If not present then the value in the CPA MUST be used.

The **deliveryReceiptRequested** parameter/element MUST be used by a *From Party* MSH to indicate whether a message received by the *To Party* MSH should result in the *To Party* MSH returning an acknowledgment message containing an **Acknowledgment** element with a **type** of **deliveryReceipt**.

The **deliveryReceiptRequested** parameter/element is frequently used to help implement Reliable Messaging (see section 10) although it can be used independently.

Before setting the value of **deliveryReceiptRequested**, the *From Party* SHOULD check the **deliveryReceiptSupported** parameter for the *To Party* in the CPA to make sure that its value is compatible.

Valid values for **deliveryReceiptRequested** are:

- **Unsigned** - requests that an unsigned Delivery Receipt is requested
- **Signed** - requests that a signed Delivery Receipt is requested, or
- **None** - indicates that no Delivery Receipt is requested.

When a *To Party* MSH receives a message with **deliveryReceiptRequested** not set to **None** then it should check if it is able to support the type of Delivery Receipt requested.

If the *To Party* MSH can produce the Delivery Receipt of the type requested, then it MUST return to the *From Party* on the message just received, a message containing an **Acknowledgment** element with the value of the **type** attribute set to **DeliveryReceipt**.

If the *To Party* cannot return a Delivery Receipt of the type requested then it MUST report the error to the *From Party* using an **ErrorCode** of **NotSupported** and a **Severity** of **Error**.

8.4.7.3 syncReplyMode attribute

The **syncReplyMode** is an optional attribute that indicates whether a response to a message must be returned at the same time as any acknowledgments. It has two values:

- **True** which indicates that the MSH that receives the message MUST get the message processed by the application or other process that needs to process it before the MSH sends any response to the original message, or
- **False** which indicates that an acknowledgment to the message MAY be sent separately before processing of the message by the application or other process.

803 The default value is **False**.

804 **8.4.7.4TimeToLive attribute**

805 The ~~**TimeToLive**~~ is an optional attribute in the header that conforms to [ISO8601] and indicates
806 the time by which a message should be delivered to the ~~To Party~~ Message Service Handler.

807 When setting a value for ~~**TimeToLive**~~ it is RECOMMENDED that the ~~From Party~~ takes into
808 account the accuracy of its own internal clocks as well as the ~~**mshTimeAccuracy**~~ parameter for
809 the Receiver MSH (see section 10.6.5.3) that indicates the accuracy to which a MSH will keep its
810 internal clocks.

811 How a MSH ensures that its internal clocks are kept sufficiently accurate is an implementation
812 decision.

813 If a MSH receives a Message where ~~**TimeToLive**~~ has expired the MSH MUST:

- 814 — send a Message to the ~~From Party~~ MSH, reporting that the ~~**TimeToLive**~~ of the message
- 815 has passed
- 816 — NOT forward the message to another MSH or application/other system that should receive
- 817 the message.

818 The message reporting the error MUST contain an ~~**ErrorCode**~~ set to ~~**TimeToLiveExpired**~~, and a
819 ~~**severity**~~ attribute set to ~~**Error**~~

820 In this context the ~~**TimeToLive**~~ has expired if the time of the internal clock of the MSH that
821 receives a message is greater than the value of ~~**TimeToLive**~~ for the Message.

822 If ~~**TimeToLive**~~ is not present then it MUST be assumed that ~~**TimeToLive**~~ is infinite and therefore
823 checks for message expiry are unnecessary.

824 **8.4.8 SequenceNumber element**

825 The **SequenceNumber** is an element that indicates the sequence in which messages must be
826 processed by a To Party receiving MSH. The SequenceNumber is unique within the
827 **ConversationId** and From Party MSH. It is set to zero on the first message from that MSH for a
828 Conversation and then incremented by one for each subsequent message sent. The
829 **SequenceNumber** element MUST appear only when **deliverySemantics** is **OnceAndOnlyOnce**
830 and **messageOrderSemantics** is **Guaranteed**. If it does not, then there is an error that must be
831 reported to the From Party MSH with an **errorCode** of **Inconsistent** and a **severity** of **Error**.

832 A To Party MSH that receives a message with a **SequenceNumber** set MUST NOT pass the
833 message to an application as long as the storage required to save out-of-sequence messages is
834 within the implementation defined limits and until all the messages with lower
835 **SequenceNumbers** have been received and passed to the application.

836 If the implementation defined limit for saved out-of-sequence messages is reached, then the To
837 Party MSH MUST indicate a delivery failure to the From Party MSH with **errorCode** set to
838 **DeliveryFailure** and **severity** set to **Error** (see section ~~Error! Reference source not found,~~ 11).

839 The **SequenceNumber** element is an integer value that is incremented (e.g. 0, 1, 2, 3, 4...) for
840 each From Party application-prepared message sent to the To Party application in the
841 **ConversationId**. The next value of 99999999 in the increment is "0". The Sequence Number
842 consists of ASCII numerals in the range 0-99999999. In following cases, the Sequence Number
843 takes the value "0":

- 844 1) First message from the within the Conversation
- 845 2) First message after resetting Sequence Number information by the ~~F~~from Party MSH
- 846 3) First message after wraparound (next value after 99999999)

847 The **SequenceNumber** element has a single attribute, **Status**. This attribute is an enumeration,
848 which SHALL have one of the following values:

- 849 • **Reset** – the Sequence Number is reset as shown in 1 or 2 above
- 850 • **Continue** – the Sequence Number continues sequentially (including 3 above)

851 When the Sequence Number is set to "0" because of 1 or 2 above, the **Status** attribute of the
 852 messages MUST be set to "Reset". In all other cases, including 3 above, the **Status** attribute
 853 MUST be set to "Continue". Before the From Party resets the SequenceNumber of a
 854 Conversation, the Sender MUST wait for receiving of all the Acknowledgement Messages for
 855 Messages previously sent for the Conversation. Only when all the sent Messages are
 856 acknowledged, can the From Party reset the **SequenceNumber**. An example of a Sequence
 857 Number follows.

```
858
859 <SequenceNumber Status="Reset">0</SequenceNumber>
```

860 8.4.9 Description element

861 The **Description** element is present zero or more times as a child element of the Header. Its
 862 purpose is to provide a human readable description of the purpose or intent of the message. The
 863 language of the description is defined by a required **xml:lang** attribute. The **xml:lang** attribute
 864 MUST comply with the rules for identifying languages specified in [XML]. Each occurrence
 865 SHOULD have a different value for **xml:lang**.

866 8.4.10 #wildcard element

867 In support of allowing an ebXML Message to be extended to include element content from a
 868 foreign namespace, a **#wildcard** element has been provided. Additional element content MAY be
 869 added to the **Header** element immediately following the **MessageData** element. Such additional
 870 element content MUST be namespace-qualified in accordance with [XMLNamespaces].

871 Refer to section 8.2.3.9 for discussion of #wildcard element handling.

872 8.4.11 Header sample

873 The following fragment demonstrates the structure of the **Header** element of the **ebXMLHeader**
 874 document:

```
875
876 <Header id="N01">
877   <From>...</From>
878   <To type="userType">...</To>
879   <CPAId>http://www.ebxml.org/cpa/123456</CPAId>
880   <ConversationId>987654321</ConversationId>
881   <Service type="myservicetypes">QuoteToCollect</Service>
882   <Action>NewPurchaseOrder</Action>
883   <MessageData>
884     <MessageId>UUID-2</MessageId>
885     <Timestamp>20000725T121905.000Z</Timestamp>
886     <RefToMessageId>UUID-1</RefToMessageId>
887   </MessageData>
888
889   <QualityOfServiceInfo
890     deliverySemantics="OnceAndOnlyOnce"
891     deliveryReceiptRequested="Signed" />
892   <QualityOfServiceInfo deliverySemantics="BestEffort"/>
893 </Header>
```

894 8.5 RoutingHeaderList element

895 A **RoutingHeaderList** element consists of one or more **RoutingHeader** elements. Exactly one
 896 **RoutingHeader** is appended to the **RoutingHeaderList**, following any pre-existing
 897 **RoutingHeader** before transmission of a message over a data communication protocol.

898 The **RoutingHeaderList** element MAY be omitted from the header if:

- 899 • the message is being sent over a single hop (see section 8.5.2), and
- 900 • the message is not being sent reliably (see section 10)

8.5.1 Routing Header Element

The ***RoutingHeader*** element contains information about a single transmission of a message between two Parties. If a message traverses multiple hops by passing through ~~some type of intermediate system between the From Party and the To Party, then each transmission over each one~~ or more intermediate MSH nodes as it travels between the From party MSH and the To Party MSH, then each transmission over each successive "hop" results in the addition of a new Routing Header element.

The ***RoutingHeader*** element is a composite element comprised of the following subordinate elements:

- ***SenderURI***
- ***ReceiverURI***
- ***ErrorURI***
- ***Timestamp***
- ***SequenceNumber***
- ***#wildcard***

The RoutingHeader element MAY contain either or both of the following attributes:

- ***reliableMessagingMethod***
- ***intermediateAckRequested***

8.5.1.1 reliableMessagingMethod attribute

The ***reliableMessagingMethod*** attribute is an enumeration that SHALL have one of the following values:

- ebXML
- Transport

The default implied value for this attribute is "ebXML". Refer to section 10.1.2 for discussion of the use of this attribute.

8.5.1.2 intermediateAckRequested attribute

The ***intermediateAckRequested*** attribute is an enumeration that SHALL have one of the following values:

- Signed
- UnSigned
- None

The default implied value for this attribute is "None". Refer to section 10.1.2 for discussion of the use of this attribute.

~~[These attributes are added to support the prototype Reliable Messaging content in this specification—see note at beginning of section 10]~~

8.5.1.3 SenderURI element

This element contains the URI of the message's Sender Messaging Service Handler. The recipient of the message, unless there is another URI more specifically identified within the CPA, uses the URI to send a message, when required that:

- responds to an earlier message
- acknowledges an earlier message

- reports an error in an earlier message.

8.5.1.28.5.1.4 ReceiverURI element

This element contains the URI of the Receiver's Messaging Service Handler URI. It is the URI to which the Sender sends the message.

8.5.1.38.5.1.5 ErrorURI element

This URI, if present, identifies the URI that is used for reporting errors. If it is not present then errors are reported by sending a message to the **SenderURI**.

8.5.1.48.5.1.6 Timestamp element

The **Timestamp** element is the time the individual **RoutingHeader** was created. It is in the same format as in the **Timestamp** element in the **MessageData** element.

8.5.1.58.5.1.7 SequenceNumber element

The **SequenceNumber** is an optional element that indicates the sequence in which messages must be processed by a receiving MSH. The SequenceNumber is unique within the **ConversationId** and Sender MSH. It is set to one on the first message from that MSH for a Conversation and then incremented by one for each subsequent message sent.

Preservation of message sequence MUST be used with **deliverySemantics** of **OnceAndOnlyOnce** otherwise there is an error.

A MSH that receives a message with a **SequenceNumber** set MUST NOT pass the message to an application as long as the storage required to save out-of-sequence messages is within the implementation defined limits and until all the messages with lower **SequenceNumbers** have been received and passed to the application.

If the implementation defined limit for saved out-of-sequence messages is reached, then the Receiving MSH MUST indicate a delivery failure to the Sending MSH with **errorCode** set to **DeliveryFailure** and **severity** set to **Error** (see section 10.5).

8.5.1.68.5.1.8 #wildcard element

Refer to section 8.2.3.9 for discussion of #wildcard element handling.

8.5.1.7reliableMessagingMethod attribute

~~The **reliableMessagingMethod** attribute is an enumeration that SHALL have one of the following values:~~

- ~~—ebXML~~
- ~~—Transport~~

~~The default implied value for this attribute is "ebXML". Refer to section 10.1.2 for discussion of the use of this attribute.~~

8.5.1.8intermediateAckRequested attribute

~~The **intermediateAckRequested** attribute is an enumeration that SHALL have one of the following values:~~

- ~~—Signed~~
- ~~—Unsigned~~

~~—None~~

~~The default implied value for this attribute is "None". Refer to section 10.1.2 for discussion of the use of this attribute.~~

8.5.2 Single Hop Routing Header Sample

A single hop message and its return is illustrated by the diagram below.

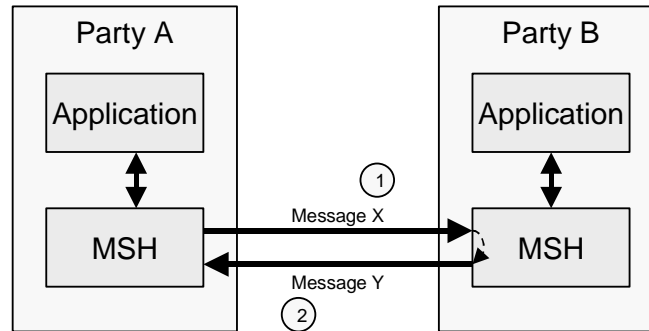


Figure 8-1 Single Hop Message

The content of the corresponding messages could include:

- Transmission 1 - Message X From Party A To Party B

```
<Header id="...">
  <From>urn:myscheme.com:id:PartyA-id</From>
  <To>urn:myscheme.com:id:PartyB-id</To>
  <ConversationId>219cdj89dj2398djfjn</ConversationId>
  ...
  <MessageData>
    <MessageId>29dmridj103kvna</MessageId>
    ...
  </MessageData>
  ...
</Header>
<RoutingHeaderList id="...">
  <RoutingHeader>
    <SenderURI>url:PartyA.com/PartyAMsh</SenderURI>
    <ReceiverURI>url:PartyB.com/PartyBMsh</ReceiverURI>
    <Timestamp>20001216T21:19:35.145Z-8</Timestamp>
  </RoutingHeader>
</RoutingHeaderList>
```

~~—Transmission 2 - Message Y From Party B To Party A~~

```
<Header id="...">
  <From>urn:myscheme.com:id:PartyB-id</From>
  <To>urn:myscheme.com:id:PartyA-id</To>
  <ConversationId>219cdj89dj2398djfjn</ConversationId>
  ...
  <MessageData>
    <MessageId>eis99dk4mvzlghasi</MessageId>
    <RefToMessageId>29dmridj103kvna</RefToMessageId>
    ...
  </MessageData>
  ...
</Header>
<RoutingHeaderList id="...">
  <RoutingHeader>
    <SenderURI>url:PartyA.com/PartyAMsh</SenderURI>
    <ReceiverURI>url:PartyB.com/PartyBMsh</ReceiverURI>
    <Timestamp>20001216T21:20:05.274Z-6</Timestamp>
  </RoutingHeader>
</RoutingHeaderList>
```

8.5.3 Multi-hop Routing Header Sample

Multi-hop messages are not sent directly from one party to another, instead they are sent via an intermediate party. This is illustrated by the diagram below.

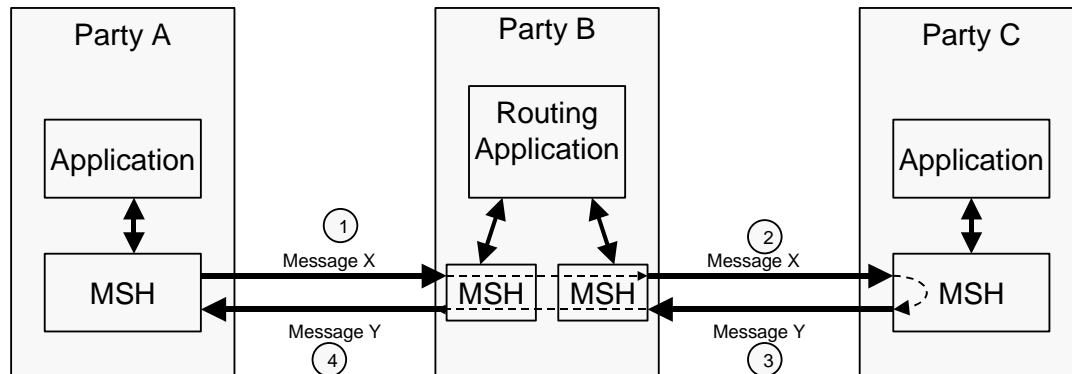


Figure 8-2 Multi-hop Message

The content of the corresponding messages could include:

- Transmission 1 - Message X From Party A To Party B

```
<Header id="...">
  <From>urn:myscheme.com:id:PartyA-id</From>
  <To>urn:myscheme.com:id:PartyC-id</From>
  <ConversationId>219cdj89dj2398djfjn</ConversationId>
  ...
  <MessageData>
    <MessageId>29dmridj103kvna</MessageId>
    ...
  </MessageData>
  ...
</Header>
<RoutingHeaderList id="...">
  <RoutingHeader>
    <SenderURI>url:PartyA.com/PartyAMsh</SenderURI>
    <ReceiverURI>url:PartyB.com/PartyBMsh</ReceiverURI>
    <Timestamp>20001216T21:19:35.145Z-8</Timestamp>
  </RoutingHeader>
</RoutingHeaderList>
```

- Transmission 2 - Message X From Party B To Party C

```
<Header id="...">
  <From>urn:myscheme.com:id:PartyA-id</From>
  <To>urn:myscheme.com:id:PartyC-id</From>
  <ConversationId>219cdj89dj2398djfjn</ConversationId>
  ...
  <MessageData>
    <MessageId>29dmridj103kvna</MessageId>
    ...
  </MessageData>
  ...
</Header>
<RoutingHeaderList id="...">
  <RoutingHeader>
    <SenderURI>url:PartyA.com/PartyAMsh</SenderURI>
    <ReceiverURI>url:PartyB.com/PartyBMsh</ReceiverURI>
    <Timestamp>20001216T21:19:35.145Z-8</Timestamp>
  </RoutingHeader>
  <RoutingHeader>
    <SenderURI>url:PartyB.com/PartyAMsh</SenderURI>
    <ReceiverURI>url:PartyC.com/PartyBMsh</ReceiverURI>
    <Timestamp>20001216T21:19:45.483Z-6</Timestamp>
  </RoutingHeader>
</RoutingHeaderList>
```

1078 ~~Message Y would be similar to Message X except that the direction of transmission is reversed.~~

1079 8.6 ApplicationHeaders Element

1080 The **ApplicationHeaders** element supports the extension of an ebXML Message through the
1081 inclusion of additional XML elements that belong to a foreign namespace, as child elements of
1082 the **ApplicationHeaders** element.

1083 Any additional element content MUST be namespace-qualified in accordance with
1084 [XMLNamespaces].

1085 An MSH implementation MUST make the information content of the **ApplicationHeaders**
1086 element available to the application or application services layer of software. How this is done is
1087 an implementation decision but conformance to the ebXML Service Interface specification (to be
1088 defined) is ~~recommended~~ **RECOMMENDED**.

1089 ~~The **ApplicationHeaders** element has a single attribute called **mustUnderstand**. This attribute~~
1090 ~~has two possible values **true** and **false**. The default value for the **mustUnderstand** attribute is~~
1091 ~~**false**.~~

1092 ~~An **ApplicationHeaders** element that has a **mustUnderstand** set to a value of **true** means that a~~
1093 ~~receiving MSH MUST be capable of understanding the meaning of the namespace-qualified~~
1094 ~~element content. If the content is not understood, the receiving MSH MUST respond with a~~
1095 ~~message that includes an **errorCode** of **NotSupported** in an **Error** element as defined in section~~
1096 ~~8.8.~~

1097 8.6.1 ApplicationHeaders sample

```
1098 <ApplicationHeaders mustUnderstand="true">
1099   <foo:ProprietaryStuff
1100     xmlns:foo="http://www.example.com/ebxml-msh-extensions">...
1101   </foo:ProprietaryStuff>
1102 </ApplicationHeaders>
```

1103 8.7 StatusData Element

1104 The **StatusData** element is used by one MSH to respond to a request on the status of the
1105 processing of a message that was previously sent (see also section 9.1).

1106 The **StatusData** element consists of the following elements and attributes:

- 1107 • a **RefToMessageld** element that contains the **Messageld** of the message whose status
1108 is being reported
- 1109 • a **Timestamp** element. This contains the time that the message, whose status is being
1110 reported, was received. This MUST be omitted if the message whose status is being
1111 reported is **NotRecognized** or the request was **Unauthorized**
- 1112 • a **ForwardURI** element. This MUST only be present if **messageStatus** is set to
1113 **Forwarded**. If present it indicates the URI of the **ReceiverURI** to which the message was
1114 forwarded
- 1115 • a **messageStatus** attribute that is set to one of the following values:
 - 1116 - **Unauthorized** – the Message Status Request is not authorized or accepted
 - 1117 - **NotRecognized** – the message identified by the **RefToMessageld** element in the
1118 **StatusData** element is not recognized
 - 1119 - **Received** – the message identified by the **RefToMessageld** element in the
1120 **StatusData** element has been received by the MSH, but has not been processed by
1121 an application or forwarded to another MSH
 - 1122 - **Processed** – the message identified by the **RefToMessageld** element in the
1123 **StatusData** element has been received by the MSH for the To Party on the original
1124 message, and has been passed to the application or other process that is to handle it

- 1125 - **Forwarded** – the message identified by the **RefToMessageld** element in the
1126 **StatusData** element has been received by the MSH, and has been forwarded to
1127 another MSH

1128 **8.8 ErrorList Element**

1129 The existence of an **ErrorList** element indicates that the message that is identified by the
1130 **RefToMessageld** in the header has an error.

1131 The **ErrorList** element consists of one or more **Error** elements and the following two attributes:

- 1132 • **id** attribute
- 1133 • **highestSeverity** attribute

1134 If there are no errors to be reported then the **ErrorList** element MUST NOT be present.

1135 **8.8.1 id attribute**

1136 The **id** attribute uniquely identifies the **ErrorList** element within the document.

1137 **8.8.2 highestSeverity attribute**

1138 The **highestSeverity** attribute contains the highest severity of any of the **Error** elements.
1139 Specifically, if any of the **Error** elements has a **severity** of **Error** then **highestSeverity** must be
1140 set to **Error** otherwise set **highestSeverity** to **Warning**.

1141 **8.8.3 Error element**

1142 An **Error** element consists of the following attributes:

- 1143 • **codeContext**
- 1144 • **errorCode**
- 1145 • **severity**
- 1146 • **location**
- 1147 • **xml:lang**
- 1148 • **errorMessage**
- 1149 • **softwareDetails**

1150 **8.8.3.1 codeContext attribute**

1151 The REQUIRED **codeContext** attribute identifies the namespace or scheme for the **errorCodes**.
1152 It MUST be a URI. Its default value is **http://www.ebxml.org/messageServiceErrors**. If it is
1153 does not have the default value then it indicates that an implementation of this specification has
1154 used its own **errorCodes**.

1155 Use of non ebXML values for **errorCodes** is NOT RECOMMENDED. In addition, an
1156 implementation of this specification MUST NOT use its own **errorCodes** if an existing **errorCode**
1157 as defined in section 8.8.5 has the same or very similar meaning.

1158 **8.8.3.2 errorCode attribute**

1159 The required **errorCode** attribute indicates the nature of the error in the *message in error*. Valid
1160 values for the **errorCode** and a description of the code's meaning are given in section 8.8.5.

1161 **8.8.3.3 severity attribute**

1162 The required **severity** attribute indicates the severity of the error. Valid values are:

- 1163 • **Warning** - This indicates that although there is an error, other messages in the
1164 conversation will still be generated in the normal way.

- **Error** - This indicates that there is an unrecoverable error in the message and no further messages will be generated as part of the conversation.

8.8.3.4 location attribute

The **location** attribute points to the part of the message that is in error.

If an error exists in the ebXML Header document and the document is “well formed” (see [XML]), then the content of the **location** attribute MUST be an [XPointer].

If the ebXML Header document is not “well formed” then the location attribute MUST be omitted.

If the error is associated with the MIME envelope that wraps the ebXML Header Document and the ebXML Payload, then **location** id contains the content-id of the MIME part that is in error, in the format `cid:23912480wsr`, where the text after the “:” is the value of the MIME part’s content-id.

The **location** attribute MUST NOT be used to point to errors inside the ebXML Payload Container as the method of reporting errors in the ebXML Payload Container is application dependent.

8.8.3.5 errorMessage attribute

The **errorMessage** attribute provides a narrative description of the error in the language defined by the **xml:lang** attribute. Typically, it will be the message generated by the XML parser or other software that is validating the message. This means that the value of the attribute is defined by the vendor/developer of the software, that generated the Error element.

The **xml:lang** must comply with the rules for identifying languages specified in [XML].

The **errorMessage** attribute MAY be omitted.

<DB>Do we want to allow multiple errorMessage elements in different languages, e.g. so that if you send a message to Switzerland you could send it in French, German and Italian?</DB>

8.8.3.6 softwareDetails attribute

The **softwareDetails** attribute contains a value that is set by the vendor/developer of the software that generated the **Error** element. It SHOULD contain data that enables the vendor/developer as well as the recipient of the message to identify the precise location in their software and the set of circumstances that caused the software to generate a *message reporting the error*. It is RECOMMENDED that this element include plain text separated by punctuation to identify:

- the name of the software vendor;
- the name, version and release number of the software that generated the ebXML Error Document
- the part of the software that caused the error to be generated that can be used by the Software Vendor to identify the circumstances that caused the error

If any part of the **softwareDetails** attribute contains text that is readable by a human, then it SHOULD be in the language identified by **xml:lang**.

8.8.4 Examples

An example of an **ErrorList** element is given below.

```
<ErrorList id='3490sdo9', highestSeverity="error">
  <Error errorCode='UnableToParse', severity="Error", location=cid:21398adhiwqe, xml:lang="us-
en", errorMessage='XSD parser error - document not parsable', softwareDetails='Software
Development Corp.; ebXML Connector!!; v2.7, build 2.7313; Ref HA' />
  <Error ... />
</ErrorList>
```

8.8.5 **errorCode** values

This section describes the **ErrorCodes** (see section 8.8.3.2) that are used in a *message reporting an error*. They are described in a table with three headings:

- the first column contains the value to be used as an **errorCode**, e.g. **UnableToParse**
- the second column contains a "Short Description" of the **errorCode**. Note that this narrative **MUST NOT** be used in the **errorMessage** attribute.
- the third column contains a "Long Description" that provides an explanation of the meaning of the error and provides guidance on when the particular **ErrorCode** should be used.

It is RECOMMENDED that implementers of software that conforms to this specification make available to a user that is being informed of the error: the value of the **errorCode**, the "Short Description" and optionally the "Long Description".

It is also RECOMMENDED that the "Short Description" and the "Long Description" are translated into the preferred language of the user if this is known.

8.8.6 Reporting Errors in the ebXML Header Document

The following list contains error codes that can be associated with the *ebXML Header Document*:

Error Code	Short Description	Long Description
UnableToParse	XML not well formed or invalid.	The XML document is not well formed or not valid and cannot be successfully parsed. See [XML] for the meaning of "well formed" and "not valid".
ValueNotRecognized	Element content or attribute value not recognized.	Although the document is well formed and valid, the element/attribute contains a value that could not be recognized and therefore could not be used by the ebXML Message Service
NotSupported	Element or attribute not supported	Although the document is well formed and valid, an element or attribute is present that: <ul style="list-style-type: none"> is consistent with the rules and constraints contained in this specification, but is not supported by the ebXML Message Service that is processing the message.
Inconsistent	Element content or attribute value inconsistent with other elements or attributes.	Although the document is well formed and valid, according to the rules and constraints contained in this specification the content of an element or attribute is inconsistent with the content of other elements or their attributes.
OtherXml	Other error in an element content or attribute value.	Although the document is well formed and valid, the element content or attribute value contains values that do not conform to the rules and constraints contained in this specification and is not covered by other error codes. The errorMessage attribute should be used to indicate the nature of the problem.

8.8.7 Non-XML Document Errors

The following are error codes that identify errors that are not associated with the ebXML Header Document:

Error Code	Short Description	Long Description
MessageTooLarge	Message too large	The message is too large to be processed by the ebXML Message Service.
MimeProblem	A MIME error has occurred	An error has been detected in the structure or format of a MIME part of the message. For example: <ul style="list-style-type: none"> Missing MIME Part. Although the MIME message is correctly structured, a MIME part is missing that should have been present if the rules and constraints contained in this specification are followed Unexpected MIME Part. Unexpected MIME part. Although the MIME message is correctly structured, a MIME part is present that is not expected in the particular context according to the rules and constraints contained in this specification
DeliveryFailure	Message Delivery Failure	A message has been received that either probably or definitely could not be sent to its next destination. Note that if severity is set to Warning then there is a small probability that the message was delivered.
TimeToLiveExpired	Message Time To Live Expired	A message has been received that arrived after the time specified in the TimeToLive element of the Header element
SecurityFailure	Message Security Checks Failed	Validation of signatures or checks on the authenticity or authority of the sender of the message have failed.
Unknown	Unknown Error	Indicates that an error has occurred that is not covered explicitly by any of the other errors. The errorMessage attribute should be used to indicate the nature of the problem.

8.9 Acknowledgment Element

The Acknowledgment element is an optional element that is used by one Message Service Handler to indicate that another Message Service Handler has received a message.

For clarity two terms are defined:

- message being acknowledged*. This is the Message that is has been received by a MSH that is now being acknowledged
- acknowledgment message*. This is the message that acknowledges that the *message being acknowledged* has been received.

The *message being acknowledged* is identified by the **RefToMessageld** contained in the **MessageData** element contained within the **Header** Element of the acknowledgment message containing the value of the **Messageld** of the message being acknowledged.

The **Acknowledgment** element consists of the following:

- a **Timestamp** element
- a **From** element
- a **type** attribute
- a **signed** attribute

8.9.1 Timestamp element

The **Timestamp** element is a value representing the time that the *message being acknowledged* was received by the Party generating the *acknowledgment message*. It must conform to [ISO-8601]. <DB>Do we make this conform to XML Schema *timeInstant*</DB>

8.9.2 From element

This is the same element as the **From** element within **Header** element (see section 8.4.1). However, when used in the context of an Acknowledgment Element, it contains the identifier of the *Party* that is generating the *acknowledgment message*.

If the **From** element is omitted then the *Party* that is sending the element is identified by the **From** element in the **Header** element.

8.9.3 type attribute

The **type** attribute indicates who sent the *acknowledgment message*. It MUST contain either:

- **DeliveryReceipt** - indicates that the *acknowledgment message* was generated by the *To Party* identified by the **To** element of the *message being acknowledged*, or
- **IntermediateAck** - indicates that the *acknowledgment message* was generated by a *Party* that is not the *To Party* identified by the **To** element of the *message being acknowledged*. Typically this will be a *Party* that has received the message and is forwarding it to either the *To Party* or another *Party* with the intention that the message is sent to the *To Party*.

The default value for **type** is **DeliveryReceipt**.

8.9.4 signed attribute

The **signed** attribute indicates whether the *acknowledgment message* is digitally signed. It MUST contain either:

- **True** - indicates that the *acknowledgment message* is digitally signed, or
- **False** - indicates that the *acknowledgment message* is not digitally signed

The default value for **signed** is **False**.

See section 12 for details on what should be signed and how a signature that signs an *acknowledgment message* should be checked.

8.10 Signature Element

~~TBD~~ An ebXML Message may be digitally signed to provide security countermeasures. Zero or more Signature elements, belonging to the [XMLDSIG] defined namespace MAY be present in an ebXMLHeader. The Signature element MUST be namespace qualified in accordance with [XMLDSIG]. The structure and content of the Signature element MUST conform to the [XMLDSIG] specification. If there are more than one Signature elements contained within the ebXMLHeader, the first MUST represent the digital signature of the ebXML Message as signed by the From Party MSH in conformance with section 12. Additional Signature elements MAY be present, but their purpose is undefined by this specification.

Refer to section 12 for a detailed discussion on how to construct the Signature element when digitally signing an ebXML Message.

9 Message Service Handler Services

[The Message Service Handler Services section has not been agreed to by the membership of the TRP Project Team; however, it is being included to provide a basis for POC developers of MSH implementations. Implementers MUST be prepared for some change to the content of this section.]

The Message Service Handler MUST support two services that are designed to help provide smooth operation of a Message Handling Service implementation:

- Message Status Request
- Message Service Handler Ping

Each service is described below:

9.1 Message Status Request Service

The Message Status Request Service consists of the following:

- sending a Message Status Request message to a Message Service Handler (MSH) about a message previously sent
- the Message Service Handler that receives the request sending a Message Status Response message in return.

9.1.1 Message Status Request Message

A Message Status Request message consists of no *ebXML Payload* and the following elements in the ebXML Header:

- A **Header** element
- A **RoutingHeaderList** element
- A **Signature** element

The **RoutingHeaderList** and the **Signature** elements MAY be omitted (see sections 8.5 and 8.10).

The **Header** element MUST contain the following:

- a **From** element that identifies the party that created the message status request message
- a **To** element that identifies a Party that should receive the message. If a **RoutingHeader** was present on the message whose status is being checked then this MUST be the **ReceiverURI** from that message.
- a **Service** element that contains:
<http://www.ebxml.org/namespaces/messageService/MessageStatus>
- an **Action** element that contains **Request**

The message is then sent to the *To Party*.

9.1.2 Message Status Response Message

Once the To Party on the Message Status Request message receives the message, they MAY generate a Message Status Response message that consists of no ebXML Payload and the following elements in the ebXML Header.

- a **Header** element
- a **RoutingHeaderList** element
- an **Acknowledgment** element
- a **StatusData** element
- a **Signature** element

1327 The **RoutingHeaderList**, **Acknowledgment** and **Signature** elements MAY be omitted (see
1328 sections 8.5, 8.9 and 8.10).

1329 The **Header** element MUST contain the following:

- 1330 • a **From** element that identifies the creator of the Message Status Response message
- 1331 • a **To** element that is set to the value of the **From** element in the Message Status Request
1332 message
- 1333 • a **Service** element that contains:
1334 **<http://www.ebxml.org/namespaces/messageService/MessageStatus>**
- 1335 • an **Action** element that contains **Response**
- 1336 • a **RefToMessageId** that identifies the Message Status Request message.

1337 The message is then sent to the *To Party*.

1338 9.1.3 Security Considerations

1339 Party's that receive a Message Status Request message SHOULD always respond to the
1340 message. However they MAY ignore the message instead of responding with **messageStatus**
1341 set to **Unauthorized** if they consider that the sender of the message received is unauthorized.
1342 The decision process that results in this course of action is implementation dependent.

1343 *<DB> Do we want to allow the Message Status Response to include the original response to the
1344 message in the Payload?</DB><CF> quite possibly.</CF>*

1345 9.2 Message Service Handler Ping Service

1346 The Message Service Handler Ping Service enables one Message Service Handler to determine
1347 if another MSH is operating. It consists of:

- 1348 • sending a Message Service Handler Ping message to a MSH, and
- 1349 • the MSH that receives the Ping responding with a Message Service Handler Pong
1350 message.

1351 9.2.1 Message Service Handler Ping Message

1352 A Message Service Handler Ping (MSH Ping) message consists of no ebXML Payload and the
1353 following elements in the ebXML Header:

- 1354 • A **Header** element
- 1355 • A **RoutingHeaderList** element
- 1356 • A **Signature** element

1357 The **RoutingHeaderList** and the **Signature** elements MAY be omitted (see sections 8.5 and
1358 8.10).

1359 The **Header** element MUST contain the following:

- 1360 • a **From** element that identifies the creator of the MSH Ping message
- 1361 • a **To** element that identifies the operator of the MSH that is being sent the MSH Ping
1362 message
- 1363 • a **Service** element that contains:
1364 **<http://www.ebxml.org/namespaces/messageService/MSHStatus>**
- 1365 • an **Action** element that contains **Ping**

1366 The message is then sent to the *To Party*.

1367 9.2.2 Message Service Handler Pong Message

1368 Once the *To Party* on the MSH Ping message receives the message, they MAY generate a
1369 Message Service Handler Pong (MSH Pong) message that consists of no ebXML Payload and
1370 the following elements in the ebXML Header.

- 1371 • a **Header** element
- 1372 • a **RoutingHeaderList** element
- 1373 • an **Acknowledgment** element
- 1374 • a **Signature** element

1375 The **RoutingHeaderList**, **Acknowledgment** and **Signature** elements MAY be omitted (see
1376 sections 8.5, 8.9 and 8.10).

1377 The **Header** element MUST contain the following:

- 1378 • a **From** element that identifies the creator of the MSH Pong message
- 1379 • a **To** element that identifies a Party that generated the MSH Ping message
- 1380 • a **Service** element that contains:
1381 **<http://www.ebxml.org/namespaces/messageService/MessageStatus>**
- 1382 • an **Action** element that contains **Pong**
- 1383 • a **RefToMessageld** that identifies the MSH Ping message.

1384 The message is then sent to the To Party.

1385 9.2.3 Security Considerations

1386 Party's that receive a MSH Ping message SHOULD always respond to the message. However
1387 there is a risk that some Parties might use the MSH Ping message to determine the existence of
1388 a Message Service Handler as part of a security attack on that MSH. Therefore recipients of a
1389 MSH Ping MAY ignore the message if they consider that the sender of the message received is
1390 unauthorized or part of some attack. The decision process that results in this course of action is
1391 implementation dependent.

10 Reliable Messaging

[The Reliable Messaging section has not been agreed to by the membership of the TRP Project Team; however, it is being included to provide a basis for POC developers of MSH implementations. Implementers MUST be prepared for some change to the content of this section.]

Reliable Messaging defines an interoperable protocol such that the two Messaging Service Handlers (MSH) operated by a *From Party* and a *To Party* can “reliably” exchange messages that are sent using “reliable messaging” semantics.

“Reliably” means that the *From Party* can be highly certain that the message sent will be delivered to the *To Party*. If there is a problem in sending a message then the sender resends the message until either the message is delivered, or the sender gives up. If the message cannot be delivered, for example because there has been a catastrophic failure of the *To Party*’s system, then the *From Party* is informed.

10.1.1 Persistent Storage and System Failure

A MSH that supports Reliable Messaging MUST keep messages that are sent or received reliably in *persistent storage*. In this context *persistent storage* is a method of storing data that does not lose information after a system failure or interruption.

This specification recognizes that different degrees of resilience may be realized depending on the technology that is used to persist the data. However, as a minimum, persistent storage that has the resilience characteristics of a hard disk (or equivalent) SHOULD be used. It is strongly RECOMMENDED though that implementers of this specification use technology that is resilient to the failure of any single hardware or software component.

Even after a system interruption or failure, a MSH MUST ensure that messages in persistent storage are processed in the same way as if the system failure or interruption had not occurred. How this is done is an implementation decision.

10.1.2 Methods of Implementing Reliable Messaging

Support for Reliable Messaging can be implemented in one of the following two ways:

- using the ebXML Reliable Messaging protocol, or
- using ebXML Header and Message structures together with commercial software products that are designed to provide reliable delivery of messages using alternative protocols. *<DB>Change elsewhere</DB>*

Each of these are described below.

10.2 ebXML Reliable Messaging Protocol

The ebXML Reliable Messaging Protocol described in this section MUST be followed if the **deliverySemantics** parameter/element is set to **OnceAndOnlyOnce** and the **ReliableMessagingMethod** parameter/element is set to **ebXML** (the default).

The ebXML Reliable Messaging Protocol is illustrated by the figure below.

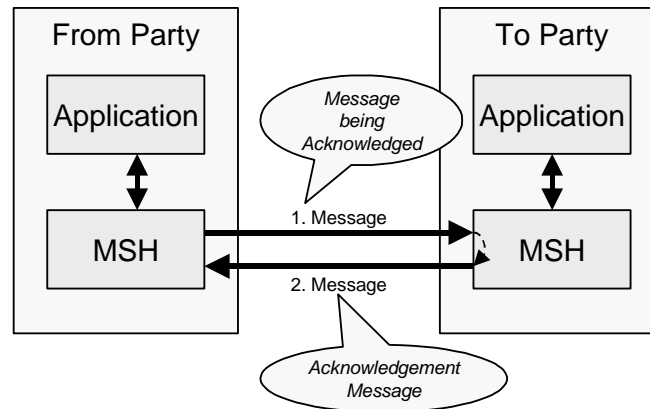


Figure 10-1 Indicating that a message has been received

The diagram above illustrates two terms that are used in the remainder of this section:

- *message being acknowledged*. This is the Message that needs to be sent reliably and therefore needs to be acknowledged
- *acknowledgment message*. This is the message that acknowledges that the message being acknowledged has been received.

The receipt of the *acknowledgment message* indicates that the *message being acknowledged* has been sent reliably.

An *acknowledgment message* MUST contain a **MessageData** element with a **RefToMessageId** that contains the same value as the **MessageId** element in the *message being acknowledged*.

A Message can be sent reliably either over:

- a Single-hop i.e. the sending of a message directly from the *From Party*'s MSH to the *To Party*'s MSH without passing through any intermediate MSHs.
- Multi-hops i.e. the sending of a message indirectly from the *From Party*'s MSH to the *To Party*'s MSH via one or more intermediate MSHs.

Single-hop Reliable Messaging is described first followed by Multi-hop Reliable Messaging. Note that Multi-hop Reliable Messaging is an extension of Single-hop reliable Messaging.

10.2.1 Single-hop Reliable Messaging

This section describes the REQUIRED behavior of a Message Service Handler (MSH) that is sending and/or receiving messages that support the ebXML Reliable Messaging Protocol.

10.2.1.1 Sending Message Behavior

If a MSH is given data by an application that needs to be sent reliably then the MSH MUST do the following:

~~4~~1) Create a message from components received from the application that includes:

- a **deliverySemantics** set to **OnceAndOnlyOnce**, and
- a **RoutingHeader** element that identifies the sender and the receiver URIs

~~5~~2) Save the message in *persistent storage* (see section 10.1.1)

~~6~~3) Send the message (the *message being acknowledged*) to the *Receiver* MSH

~~7~~4) Wait for the *Receiver* MSH to return an *acknowledgment message* and, if it does not, then resend the *identical* message as described in section 10.2.1.3

1460 It is RECOMMENDED that messages that are sent reliably include **deliveryReceiptRequested**
 1461 set to **Signed** or **Unsigned**.

1462 If the message does not need to be sent reliably, then **deliverySemantics** MUST be set to
 1463 **BestEffort** (the default).

1464 10.2.1.2 Receiving Message Behavior

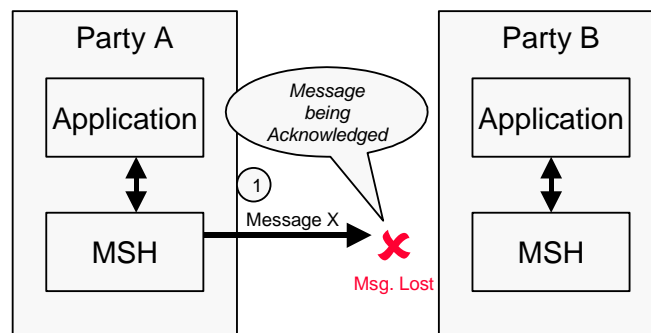
1465 If **deliverySemantics** on the received message is set to **OnceAndOnlyOnce** then do the
 1466 following:

- 1467 1) Check to see if the message is a duplicate (e.g. there is a message in *persistent storage* that
 1468 was received earlier that contains the same value for the **MessageId**)
- 1469 2) If the message is not a duplicate then do the following:
 - 1470 a) Save the **MessageId** of the received message in *persistent storage*. As an
 1471 implementation decision, the whole message MAY be stored if there are other reasons
 1472 for doing so. <DB>Need to re-look at how duplicates are detected if sequence numbers
 1473 are used. </DB>
 - 1474 b) If the received message contains a **RefToMessageId** element then do the following:
 - 1475 i) Look for a message in *persistent storage* that has a **MessageId** that is the same as
 1476 the value of **RefToMessageId** on the received Message
 - 1477 ii) If a message is found in *persistent storage* then mark the persisted message as
 1478 delivered
 - 1479 c) If **deliveryReceiptRequested** is set to **Signed** or **Unsigned** then create an
 1480 **Acknowledgment** element with **type** set to **DeliveryReceipt** that identifies the *received*
 1481 *message*
 - 1482 d) If **syncReplyMode** is set to **True** then pass the data in the received message to the
 1483 application or other process that needs to process it and wait for the application to
 1484 produce a response.
 - 1485 e) If **deliveryReceiptRequested** is set to **Signed** or **Unsigned**, or **syncReplyMode** is set
 1486 to **True** then do the following:
 - 1487 i) Create a **RoutingHeader** element that identifies the sender and the receiver URIs
 - 1488 ii) Set the **RefToMessageId** to the value of the **MessageId** in the received message
 - 1489 iii) Create a *message* from the response generated by the application (if any), the
 1490 **Acknowledgment** element (if any) and the **RoutingHeader** that includes
 1491 **deliverySemantics** set to **OnceAndOnlyOnce**
 - 1492 iv) Save the message in *persistent storage* for later resending
 - 1493 v) Send the message back to the Sending MSH
 - 1494 f) If **syncReplyMode** is set to **False** then pass the data in the received message to the
 1495 application or other process that needs to process it. Note that, depending on the
 1496 application, this can result in the application generating another message to be sent (see
 1497 previous section).
- 1498 3) If the message is a duplicate, then do the following:
 - 1499 a) Look in *persistent storage* for a response to the received message (i.e. it contains a
 1500 **RefToMessageId** that matches the **MessageId** of the received message) that was *most*
 1501 *recently sent* to the MSH that sent the received message (i.e. it has a **RoutingHeader**
 1502 element with the greatest value of the **Timestamp**)

- 1503 b) If no message was found in *persistent storage* then ignore the received message as
 1504 either no message was generated in response to the message, or the processing of the
 1505 earlier message is not yet complete
- 1506 c) If a message was found in *persistent storage* then resend the persisted message back to
 1507 the MSH that sent the received message.

1508 10.2.1.3 Resending Lost Messages and Duplicate Filtering

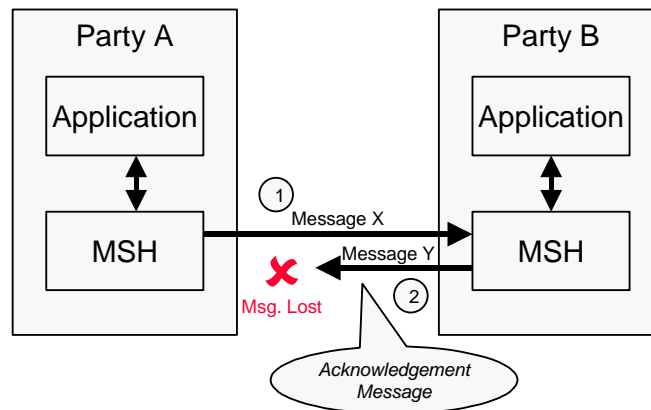
1509 This section describes the behavior that is required by the sender and receiver of a message in
 1510 order to handle when messages are lost. A message is "lost" when a sending MSH does not
 1511 receive a response to a message. For example, it is possible that a *message being*
 1512 *acknowledged* was lost, for example:



1513

1514 **Figure 10-2 Lost "Message Being Acknowledged"**

1515 It is also possible that the *Acknowledgment Message* was lost, for example ...



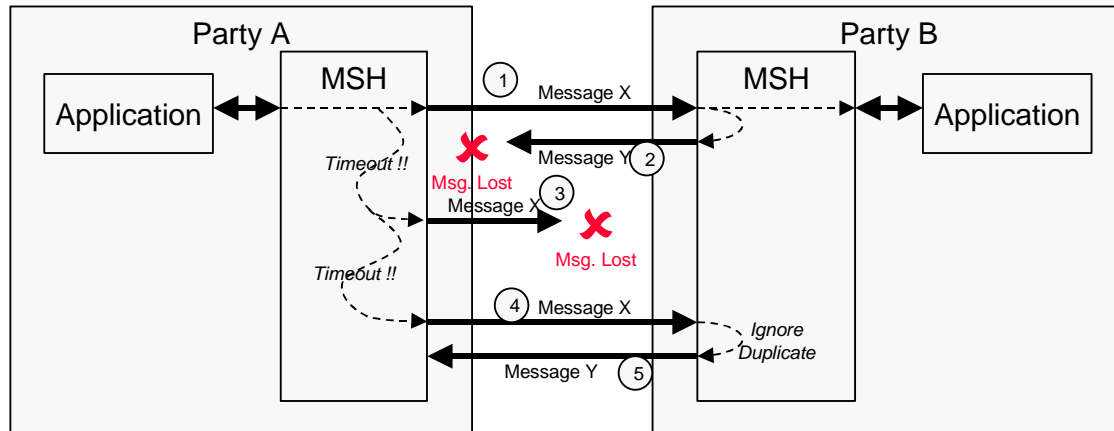
1516

1517 **Figure 10-3 Lost Acknowledgment Message**

1518 The rules that apply are as follows:

- 1519 1) The Sending MSH MUST resend the original message if an *Acknowledgment Message* has
 1520 not been received from the Receiving MSH and either of the following are true:
- 1521 a) The message has not yet been resent and at least the time specified in the ***timeout***
 1522 parameter has passed since the first message was sent, or
- 1523 b) The message has been resent, and the following are both true:
- 1524 i) At least the time specified in the ***retryInterval*** has passed since the last time the
 1525 message was resent, and

- 1526 ii) The message has been resent less than the number of times specified in the **retries**
 1527 Parameter
- 1528 2) If the Sending MSH does not receive an *Acknowledgment Message* after the maximum
 1529 number of retries, the Sending MSH SHOULD notify the application and/or system
 1530 administrator function.
- 1531 3) If the Sending MSH detects a communications protocol error that is unrecoverable at the
 1532 transport protocol level then the Sending MSH SHOULD first attempt to resend the message
 1533 using the same transport protocol until the number of **retries** has been reached, and then
 1534 again, using a different communications protocol, if the CPA allows this. If these are not
 1535 successful, then notify the From Party of the failure to deliver as described in section 10.5.



1536
 1537 **Figure 10-4 Resending Lost Messages**

1538 The diagram above shows the behavior that MUST be followed by the sender of the *message*
 1539 *being acknowledged* (e.g. Message X) and the *acknowledgment message* (e.g. Message Y).
 1540 Specifically:

- 1541 1) The sender of the *message being acknowledged* (e.g. Party A) MUST re-send the *identical*
 1542 *message* to the *To Party MSH* (e.g. Party B) if no *Acknowledgment Message* is received
- 1543 2) The recipient of the *message being acknowledged* (e.g. Party B), when it receives a *duplicate*
 1544 *message*, MUST re-send to the sender of the *message being acknowledged* (e.g. Party A), a
 1545 message identical to the *most recent message* that was sent to the recipient (i.e. Party A)
- 1546 3) The recipient of the *message being acknowledged* (e.g. Party A) MUST ignore *duplicate*
 1547 *messages* and not forward them a second time to the application, the next MSH <DB>next
 1548 MSH is multi-hop, should not be here. </DB> or other process that ultimately needs to receive
 1549 them.

1550 <DB>The above also includes recipient behavior which is not part of sending behavior. Should be
 1551 in a separate section. </DB>

1552 In this context:

- 1553 • an *identical message* is a *message* that contains, apart from perhaps an additional
 1554 **RoutingHeader** element, the same *ebXML Header* and *ebXML Payload* as the earlier
 1555 *message* that was sent.
- 1556 • a *duplicate message* is a *message* that contains the same **MessageId** as an earlier
 1557 *message* that was received.
- 1558 • the *most recent message* is the message with the latest **Timestamp** in the **MessageData**
 1559 element that has the same **RefToMessageId** as the duplicate message that has just
 1560 been received. <DB>Chris Ferris, disagrees with resending the latest message. DB & CF
 1561 need to go through this. </DB>

Note that the Communication Protocol Envelope MAY be different. This means that the same message MAY be sent using different communication protocols and the reliable messaging behavior described in this section will still apply. The ability to use alternative communication protocols is specified in the CPA.

10.2.2 Multi-hop Reliable Messaging

Multi-hop reliable Messaging can occur either:

- without Intermediate Acknowledgment, or
- with Intermediate Acknowledgments

One reason for using Multi-hop Reliable Messaging with Intermediate Acknowledgments is when the *From Party* that is sending a message is confident that the total time taken for ...

- the *message being acknowledged* to be sent to the *To Party*, and
- the *acknowledgment message* to be returned

... is likely to result in the *From Party* resending the *message being acknowledged*. <DB>Chris thinks this is superfluous, David thinks it useful as it explains why you should do multi-hop and helps an implementer decide when to use it. This requires further discussion. </DB>

Each of these is described below.

10.2.2.1 Multi-hop Reliable Messaging without Intermediate Acknowledgments

Multi-hop Reliable Messaging without Intermediate Acknowledgment is identified by the **IntermediateAckRequested** of the *Routing Header* for the hop being set to **False** (the default).

The overall message flow is illustrated by the diagram below.

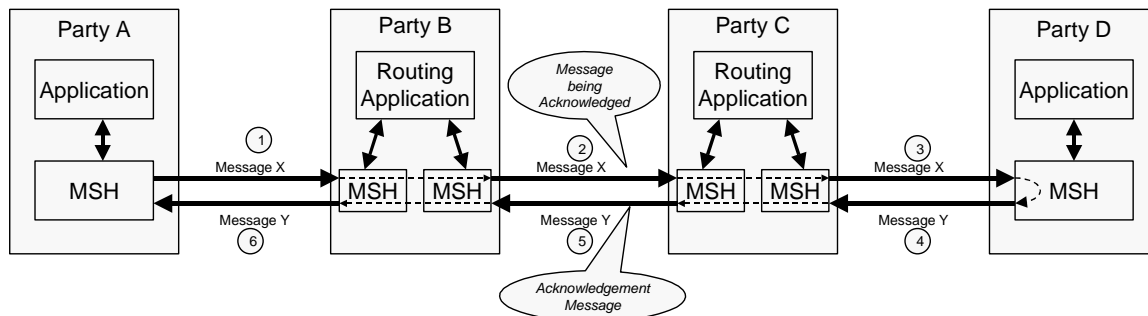


Figure 10-5 Multi-hop Reliable Messaging without Intermediate Acknowledgments

This is essentially the same as Single-hop Reliable Messaging except that the Message passes through multiple intermediate parties. This means that:

- the *From Party* (e.g. Party A) and the *To Party* (e.g. Party D) are the only parties that adopt the Reliable Messaging behavior described in this section
- the intermediate parties (e.g. Parties B and C), just forward the messages they receive, they do not undertake any Reliable Messaging behavior.

This is described in more detail below:

- 1) The *From Party* and the *To Party* adopt the sending message and receiving message behavior described in sections 10.2.1.1 and 10.2.1.2 except that the *From Party* MSH (e.g. Party A) sends to an Intermediate Party (e.g. Party B) a message (the *message being acknowledged*) e.g. Message X in transmission 1, that contains

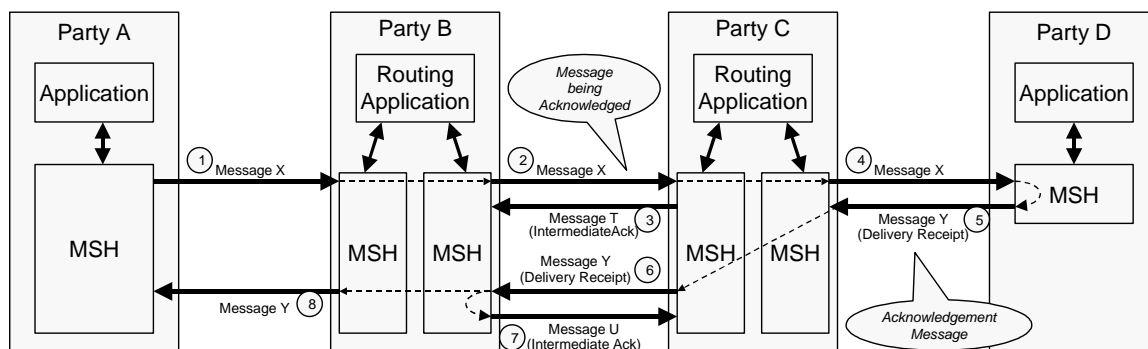
- a) a **QualityOfServiceInfo** element with **deliverySemantics** set to **OnceAndOnlyOnce**

- 1596 b) a **RoutingHeader** element that contains the **SenderURI** of the sender (e.g. the URL for
 1597 Party A's MSH) and the **ReceiverURI** of the next recipient of the message (e.g. the URL
 1598 of Party B's MSH)
- 1599 2) Once the Intermediate Party (e.g. Party B or Party C) receives the message, they determine
 1600 its next destination (in the example above this could be done by the Routing Application) and
 1601 forward the message (e.g. Transmission 2 of Message X) to the next Party (e.g. either Party
 1602 C or Party D). Before sending the message they do the following:
- 1603 a) transfer elements in the ebXML Header and Payload unchanged from the inbound
 1604 message to the outbound message except that, they
- 1605 b) add a **RoutingHeader** element to the **RoutingHeaderList** that contains the **SenderURI**
 1606 of the next party to receive the message (e.g. the URL for Party C's or Party D's MSH)
 1607 and the **ReceiverURI** (e.g. the URL for Party B's or Party C's MSH)
- 1608 3) If the Sending MSH (either at the From Party or at an Intermediate Party) does not receive an
 1609 *Acknowledgment Message* after the maximum number of retries, the Sending MSH SHOULD
 1610 notify the following of the delivery failure:
- 1611 a) The application and/or system administrator function if the Sending MSH is the *From*
 1612 *Party* MSH, or
- 1613 b) The Sending MSH of the *From Party*, if the Sending MSH is operated by an Intermediate
 1614 Party (see section 10.5)
- 1615 4) The previous step then repeats until eventually the message (e.g. Message X) reaches its
 1616 final destination at the *To Party* (e.g. Party D)
- 1617 5) Once the *To Party* receives the message (i.e. the *message being acknowledged*) they return
 1618 an *acknowledgment message* to the *From Party* through the Intermediate Parties.)
- 1619 6) Steps 2 and 3 above then repeat until the *acknowledgment message* reaches the *To Party*
 1620 (e.g. Party A)

1621 10.2.2.2 Multi-hop Reliable Messaging with Intermediate Acknowledgments

1622 Multi-hop Reliable Messaging with Intermediate Acknowledgments is similar to Multi-hop Reliable
 1623 Messaging without Intermediate Acknowledgment except that any of the Parties that are
 1624 transmitting a Message can request that the recipient return an *Intermediate Acknowledgment*.

1625 This is illustrated by the diagram below.



1626
 1627 **Figure 10-6 Multi-hop Reliable Messaging with Intermediate Acknowledgments**

1628 The main difference between Multi-Hop Reliable Messaging with Intermediate Acknowledgments
 1629 and the without is:

- 1630
- any party may request an intermediate acknowledgment

- any party that either sends or receives a message that requests an intermediate acknowledgment must adopt the reliable messaging behavior even if the **QualityOfServiceInfo** element indicates otherwise.

The rules that apply to Multi-hop Reliable Messaging with Intermediate Acknowledgment are as follows:

- 1) Any Party that is sending a message can request that the recipient send an *Acknowledgment Message* that is an *Intermediate Acknowledgment* by setting the **IntermediateAckRequested** of the **RoutingHeader** for the hop to **Signed** or **Unsigned**. (e.g. Transmission 2 of Message X, or Transmission 6 of Message Y)
- 2) If a MSH that is not the *To Party* receives a message that requires an Intermediate Acknowledgment (e.g. Transmission 2 of Message X, or Transmission 6 of Message Y) then:
 - a) If the MSH can identify itself as the **ReceiverURI** in the **RoutingHeader** for the hop, and an *Intermediate Acknowledgment* is requested, then the MSH must return an *Acknowledgment Message* (e.g. Transmission 3 of Message T, or Transmission 7 of Message U) with:
 - i) The **Service** and **Action** elements set as in defined in section 10.4
 - ii) The **From** element contains the **ReceiverURI** from the last **RoutingHeader** in the message that has just been received
 - iii) The **To** element contains the **SenderURI** from the last **RoutingHeader** in the message that has just been received
 - iv) a **RefToMessageld** element that contains the **Messageld** of the message being acknowledged
 - v) a **QualityOfServiceInfo** element with **deliverySemantics** set to **OnceAndOnlyOnce**
 - vi) an **Acknowledgment** element with type set to **IntermediateAck**
 - vii) a **RoutingHeader** element that contains the **SenderURI** of the sender (e.g. the URL for Party C's or Party B's MSH) and the **ReceiverURI** of the next recipient of the message (e.g. the URL of Party B's or Party C's MSH)
- 3) If a MSH that is the *To Party* receives a message and it requires an Intermediate Acknowledgment (see step 2) then, unless the *To Party* is returning an *Acknowledgment Message* that is a *Delivery Receipt*, return an *Acknowledgment Message* as described in step 2c above.

10.3 ebXML Reliable Messaging using Queuing Transports

This section describes the differences that apply if a Queuing Transport is used to implement Reliable Messaging.

Use of the ebXML Reliable Messaging Protocol is identified by the **ReliableMessagingMethod** parameter being set to **Transport** for transmission (either a Single-hop or a Multi-hop)

If Reliable Messaging using a Queuing Transport is being used then the following rules apply:

- 1) An Intermediate Ack SHOULD not be requested. If an Intermediate Ack is requested, then it is ignored.
- 2) No message acknowledgments with an **Acknowledgment** element with a **type** of **IntermediateAck** should be sent, even if requested
- 3) Implementations should use the facilities of the Queuing Transport to determine if the message was delivered

- 4) If an intermediate MSH cannot forward a message to the next Party then the From Party should be notified using the procedure described in section 10.5.
- 5) An acknowledgment message with an **Acknowledgment** element with a type attribute set to **deliveryReceipt** can be sent if requested to inform the sender of the message being acknowledged that the message was delivered.

10.4 Service and Action Element Values

An **Acknowledgment** element can be included in an **ebXMLHeader** that is part of a *message* that is being sent as a result of processing of an earlier message. In this case the values for the **Service** and **Action** elements are set by the designer of the Service (see section 8.4.4).

An **Acknowledgment** element also can be included in an **ebXMLHeader** that does not include any results from the processing of an earlier message. In this case, the values of the **Service** and **Action** elements MUST be set as follows:

- The **Service** element MUST be set to:
<http://www.ebxml.org/namespaces/messageService/MessageAcknowledgment>
- The **Action** element MUST be set to the value of the **type** attribute in the **Acknowledgment** element.

Note that **deliveryReceiptRequested** must be set to **None** on a message that is only an acknowledgment.

10.5 Failed Message Delivery

It is possible, that a Message cannot be delivered to its ultimate destination. This can be either:

- when the *To Party* MSH cannot deliver the message to the Application or other process that needs it, or
- when using Intermediate Acknowledgments and an Intermediate system determines that a message may have been lost. This is illustrated by the diagram below.

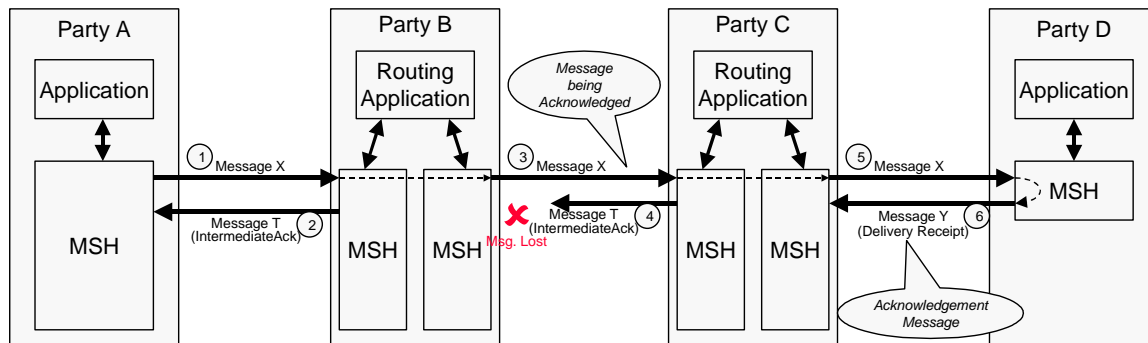


Figure 10-7 Failed Message Delivery using Intermediate Acknowledgments

In this example, Party B does not know if Party C (or Party D) has received the message since, even after resending, it has not received the *acknowledgment message* (Message T).

In both these circumstances the MSH that detects the problem MUST send a message to the *From Party* that sent the *message being acknowledged* (via the Intermediate Party if required). The message contains:

- a **From Party** that identifies the Party that detected the problem
- a **To Party** that identifies the **From Party** that created the message that could not be delivered
- a **Service** element and **Action** element set as described in 11.5

- a **QualityOfServiceInfo** element with **deliverySemantics** set to the same value as the **deliverySemantics** on the message that could not be delivered
- an **Error** element with a severity of:
 - **Error** if the Party that detected the problem could not even transmit the message (e.g. Transmission 3 was impossible)
 - **Warning** if the message (e.g. Message X in Transmission 3) was transmitted, but no acknowledgment was received. This means that the message probably was not delivered although there is a small probability that it was
- an **ErrorCode** of **DeliveryFailure**

This is illustrated by the diagram below by the text and arrows in red.

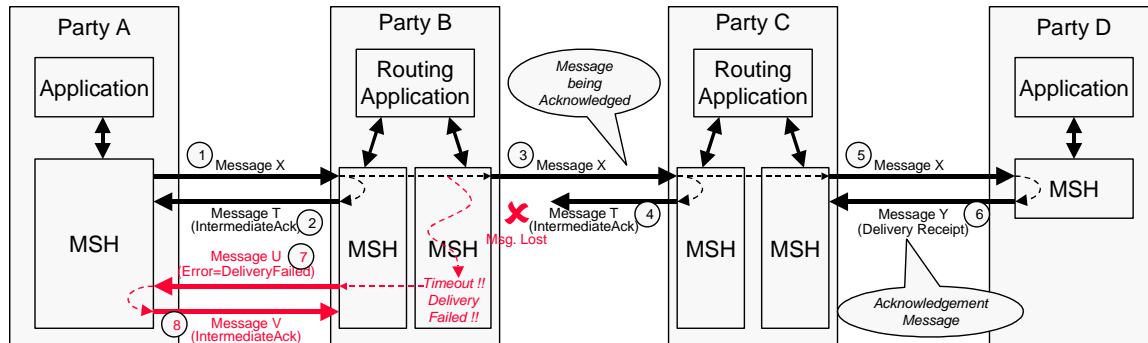


Figure 10-8 Reporting Failed Message Delivery

Note that the message that contains an **Error** element with an **ErrorCode** of **DeliveryFailure** (e.g. Message U in Transmission 7) might be sent reliably. It is possible the *acknowledgment message* for this message (e.g. Message V in Transmission 8) is not received. In this case, the Party that detects the failed delivery (e.g. Party B) SHOULD inform the Party (e.g. Party A) that sent the *message being acknowledged* (e.g. Message X in Transmission 1) of the failure. How this is done is outside the scope of this specification.

10.6 Reliable Messaging Parameters

This section describes the parameters required to control reliable messaging. This parameter information may be contained:

- in the ebXML Message header, or
- in the CPA associated with the message.

If the information is in both the ebXML message header and the CPA, the information in the header over-rides the CPA.

10.6.1 Who sets Message Service Parameters

The values to be used in parameters can be specified by the following parties:

- the *From Party*
- the *To Party*
- the sending Message Service Handler (MSH)
- the receiving Message Service Handler

Parameters set by the *From Party* or the *To Party*, apply to the delivery of a message as a whole. Parameters set by the sending or receiving MSH apply to a single-hop.

1743 Note that the *From Party* is the sending MSH and the *To Party* is the receiving MSH for the
1744 first/last MSH that handles the message.

1745 The table below indicates where these parameters may be set.

1746

Specified By	Parameter	CPA/ CPP	Message Header	Routing Header
From Party	deliverySemantics	Yes	Yes	N/A
From Party	deliveryReceiptRequested	Yes	Yes	N/A
From Party	syncReplyMode	Yes	Yes	N/A
From Party	timeToLive	Yes	Yes	N/A
To Party	deliveryReceiptProvided	Yes	No	No
Sending MSH	reliableMessagingMethod	No	N/A	Yes
Sending MSH	intermediateAckRequested	No	N/A	Yes
Sending MSH	timeout	Yes	No	No
Sending MSH	retries	Yes	No	No
Sending MSH	retryInterval	Yes	No	No
Receiving MSH	reliableMessagingSupported	Yes	No	No
Receiving MSH	intermediateAckSupported	Yes	No	No
Receiving MSH	persistDuration	Yes	No	No
Receiving MSH	mshTimeAccuracy	Yes	No	No

1747 In this table, the following interpretation of the columns should be used:

1748 7) the **Specified By** column indicates the Party that sets the value in the Collaboration Party
1749 Protocol, Message Header, or Routing Header

1750 8) if the **CPA/CPP** column contains a **Yes** then it indicates that the party in the **Specified By**
1751 column specifies the value that is present in the **CPP**

1752 9) if the **CPA/CPP** column contains a **No** then it indicates that the parameter value is never
1753 specified in the **CPP**

1754 10) if the **Message Header** or **Routing Header** columns contain a **Yes** then it indicates that the
1755 parameter value may be specified in the **Header** element or **Routing Header** and over-rides
1756 any value in the **CPA**. If the value is not specified in the **Header** element or **Routing Header**
1757 then the value in the **CPA** must be used.

1758 11) if the **Message Header/Routing Header** columns contain a **No** then it indicates that the
1759 value in the **CPA** is always used

1760 12) if the **Message Header/Routing Header** columns contain a **N/A** then it indicates that the
1761 value may be specified in another header

1762 These parameters are described below.

1763 10.6.2 From Party Parameters

1764 This section describes the parameters that are set by the *From Party*

1765 10.6.2.1 Delivery Semantics

1766 The **deliverySemantics** parameter may be present as either an element within the
1767 **ebXMLHeader** element or as a parameter within the **CPA**. See section 8.4.7.1 for more
1768 information.

1769 10.6.2.2 Delivery Receipt Requested

1770 The **deliveryReceiptRequested** parameter may be present as either an element within the
 1771 **ebXMLHeader** element or as a parameter within the CPA. See section 8.4.7.2 for more
 1772 information.

1773 10.6.2.3 Sync Reply Mode

1774 The **syncReplyMode** parameter may be present as either an element within the **ebXMLHeader**
 1775 element or as a parameter within the CPA. See section 8.4.7.3 for more information.

1776 10.6.2.4 Time To Live

1777 The **TimeToLive** element may be presented within the **ebXMLHeader** element see section
 1778 8.4.6.4 ~~8.4.7.2~~ for more information.

1779 10.6.3 To Party Parameters

1780 This section describes the parameters that are set by the *To Party*

1781 10.6.3.1 Delivery Receipt Provided

1782 The **DeliveryReceiptProvided** parameter indicates whether a *To Party* can provide an
 1783 *acknowledgment message* with a **type** attribute of **deliveryReceipt** in response to a message.
 1784 Valid values are:

- 1785 • **Signed** - indicates that only a signed Delivery Receipt can be provided
- 1786 • **Unsigned** - indicates only an unsigned Delivery Receipt can be provided,
- 1787 • **Both** - indicates that either a signed or an unsigned Delivery Receipt can be provided, or
- 1788 • **None** - indicates that the *To Party* does not create Delivery Receipts

1789 If a MSH receives a Message where **deliveryReceiptRequested** is in not compatible with the
 1790 value of **DeliveryReceiptProvided** then the MSH MUST return an *Error Message* to the *From*
 1791 *Party* MSH, reporting that the **DeliveryReceiptProvided** is not supported. This must contain an
 1792 **errorCode** set to **NotSupported** and a **severity** of Error.

1793 10.6.4 Sending MSH Parameters

1794 This section describes the parameters that are set by the *Party* that operates the Sending MSH.

1795 10.6.4.1 Reliable Messaging Method

1796 The **ReliableMessagingMethod** parameter indicates the requested method for Reliable
 1797 Messaging that will be used when sending a Message. Valid values are:

- 1798 • **ebXML** in this case the ebXML Reliable Messaging Protocol as defined in section 10.2 is
 1799 followed, or
- 1800 • **Transport**, in this case a Queuing Transport Protocol is used for reliable delivery of the
 1801 message, see section 10.3.

1802 10.6.4.2 Intermediate Ack Requested

1803 The **IntermediateAckRequested** parameter is used by the Sending MSH to request that the
 1804 Receiving MSH that receives the *Message* returns an *acknowledgment message* with an
 1805 **Acknowledgment** element with a **type** of **IntermediateAcknowledgment**.

1806 Valid values for **IntermediateAckRequested** are:

- 1807 • **Unsigned** - requests that an unsigned Delivery Receipt is requested

- 1808 • **Signed** - requests that a signed Delivery Receipt is requested, or
- 1809 • **None** - indicates that no Delivery Receipt is requested.
- 1810 The default value is **None**.

1811 **10.6.4.3 Timeout Parameter**

1812 The **timeout** parameter is an integer value that specifies the time in seconds that the Sending
1813 MSH MUST wait for an *Acknowledgment Message* before first resending a message to the
1814 Receiving MSH.

1815 **10.6.4.4 Retries Parameter**

1816 The **retries** Parameter is an integer value that specifies the maximum number of times the
1817 *message being acknowledged* must be resent to the Receiving MSH using the same
1818 Communications Protocol by the Sending MSH.

1819 **10.6.4.5 RetryInterval Parameter**

1820 The **retryInterval** parameter is an integer value specifying, in seconds, the time the Sending
1821 MSH MUST wait between retries, if an *Acknowledgment Message* is not received.

1822 **10.6.4.6 Deciding when to resend a message**

1823 The Sending MSH MUST resend the original message if an *Acknowledgment Message* has not
1824 been received from the Receiving MSH and either:

- 1825 • the message has not yet been resent and at least the time specified in the **timeout**
1826 parameter has passed since the first message was sent, or
- 1827 • the message has been resent, and
 - 1828 - at least the time specified in the **retryInterval** has passed since the last time the
1829 message was resent, and
 - 1830 - the message has been resent less than the number of times specified in the **retries**
1831 Parameter, and

1832 If the Sending MSH does not receive an *Acknowledgment Message* after the maximum number
1833 of retries, the Sending MSH SHOULD notify either:

- 1834 • the application and/or system administrator function if the Sending MSH is the *From*
1835 *Party* MSH, or
- 1836 • send an message reporting the delivery failure, if the Sending MSH is operating by an
1837 Intermediate Party (see section 10.5)

1838 **10.6.5 Receiving MSH Parameters**

1839 This section describes the parameters that are set by the *Party* that operates the Receiving MSH.

1840 **10.6.5.1 Reliable Messaging Methods Supported**

1841 The **reliableMessagingMethodsSupported** parameter is a list of the methods that a MSH uses
1842 to support Reliable Messaging. It must be a URI. The URI for the ebXML Reliable Messaging
1843 Protocol described in section 10.2 is **<http://www.ebxml.org/namespaces/reliableMessaging>**

1844 **10.6.5.2 PersistDuration**

1845 ***persistDuration*** is the minimum length of time, expressed as a [XMLSchema] timeDuration, that
1846 data from a *Message* that is sent reliably, is kept in *Persistent Storage* by a MSH that receives
1847 that *Message*.

1848 In order to support the filtering of duplicate messages, a Receiving MSH MUST, as a minimum,
1849 save the **MessageId** in *persistent storage*. It is also RECOMMENDED that the following be kept
1850 in *Persistent Storage*:

- 1851 • the complete message, at least until the information in the message has been passed to
1852 the application or other process that needs to process it
- 1853 • the time the message was received, so that the information can be used to generate the
1854 response to a Message Status Request (see section 9.1.1)

1855 ***persistDuration*** is specified in the CPA.

1856 A MSH SHOULD NOT resend a message with the same **MessageId** to a receiving MSH if the
1857 elapsed time indicated by ***persistDuration*** has passed since the message was first sent as the
1858 receiving MSH will probably not treat it as a duplicate.

1859 If a message cannot be sent successfully before ***persistDuration*** has passed, then the MSH
1860 should report a delivery failure (see section 10.5).

1861 Note that implementations may determine that a message is persisted for longer than the time
1862 specified in ***persistDuration***, for example in order to meet legal requirements or the needs of a
1863 business process. This information is recorded separately within the CPA.

1864 In order to ensure that persistence is continuous as the message is passed from the receiving
1865 MSH to the process or application that is to handle it, it is RECOMMENDED that a message is
1866 not removed from *persistent storage* until the MSH knows that the data in the message has been
1867 received by the process/application.

1868 **10.6.5.3 MSH Time Accuracy**

1869 The ***mshTimeAccuracy*** parameter in the CPA indicates the minimum accuracy that a Receiving
1870 MSH keeps the clocks it uses when checking, for example, ***TimeToLive***. It's value is in the format
1871 "mm:ss" which indicates the accuracy in minutes and seconds.

11 Error Reporting and Handling

This section describes how one ebXML Message Service Handler (MSH) reports errors it detects in an ebXML Message to another MSH.

11.1 Definitions

For clarity two phrases are defined that are used in this section:

- *message in error*. A message that contains or causes an error of some kind
- *message reporting the error*. A message that contains an ebXML **ErrorList element** that describes the error(s) found in a *message in error*.

11.2 Types of Errors

One MSH needs to report to another MSH errors in a *message in error* that are associated with:

- the structure or content of the *Message Envelope* (e.g. MIME) (see section 7),
- the ebXML Message Header document (see section 8),
- reliable messaging failures (see section 10), or
- security (see section 12).

Unless specified to the contrary, all references to "an error" in the remainder of this specification imply any or all of the types of errors listed above.

Errors associated with Data Communication protocols are detected and reported using the standard mechanisms supported by that data communication protocol and are do not use the error reporting mechanism described here.

11.3 When to generate Error Messages

When an MSH detects an error in a *message in error*, a *message reporting the error* MUST be generated and delivered to the MSH that sent the *message in error* if:

- the Error Reporting Location (see section 11.4) to which the *message reporting the error* should be sent can be determined, and
- the *message in error* does not have an **ErrorList** element with **highestSeverity** set to **Error**.

If the Error Reporting Location cannot be found or the *message in error* has an **ErrorList** element with **highestSeverity** set to **Error**, it is RECOMMENDED that:

- the error is logged,
- the problem is resolved by other means, and
- no further action is taken.

11.3.1 Security Considerations

Party's that receive a Message that contains an error in the header SHOULD always respond to the message. However they MAY ignore the message and not respond if they consider that the message received is unauthorized or is part of some security attack. The decision process that results in this course of action is implementation dependent.

11.4 Identifying the Error Reporting Location

The Error Reporting Location is a URI that is specified by the sender of the *message in error* that indicates where to send a *message reporting the error*. This may be specified:

- 1911 • by reference, for example by using the **CPAId** to identify the Party Agreement that
- 1912 contains the Error Reporting Location, or
- 1913 • by value, for example by using the **ErrorURI** contained within the **RoutingHeader**
- 1914 element.
- 1915 If a *message* contains an **ErrorURI** then the **ErrorURI** MUST be used.
- 1916 If an **ErrorURI** is not used then the **ErrorURI** implied by the CPA identified by the **CPAId** on the
- 1917 message SHOULD be used. If no **ErrorURI** is implied by the CPA, then the **SenderURI** MUST be
- 1918 used.
- 1919 Even if the *message in error* cannot be successfully analyzed or parsed, MSH implementers
- 1920 SHOULD try to determine the Error Reporting Location by other means. How this is done is an
- 1921 implementation decision.

1922 11.5 Service and Action Element Values

- 1923 An **ErrorList** element can be included in an **ebXMLHeader** that is part of a *message* that is being
- 1924 sent as a result of processing of an earlier message. In this case, the values for the **Service** and
- 1925 **Action** elements are set by the designer of the Service (see section 8.4.4).
- 1926 An **ErrorList** element can also be included in an **ebXMLHeader** that is not being sent as a result
- 1927 of the processing of an earlier message. In this case, the values of the **Service** and **Action**
- 1928 elements MUST be set as follows:
- 1929 • The **Service** element MUST be set to:
- 1930 **<http://www.ebxml.org/namespaces/messageService/MessageStatus>**
- 1931 • The **Action** element MUST be set to **MessageError**.

12 Security

The ebXML Message Service, by its very nature, presents certain security risks. A Message Service may be at risk by means of:

- Unauthorized access
- Data integrity and/or confidentiality attacks (e.g. through man-in-the-middle attacks)
- Denial-of-Service, spoofing, bombing attacks

Each security risk is described in detail in the ebXML Technical Architecture Security Specification [EBXMLSEC].

Each of these security risks MAY be addressed in whole, or in part, by the application of one, or a combination, of the countermeasures described in this section. This specification describes a set of profiles, or combinations of selected countermeasures, that have been selected to address key risks based upon commonly available technologies. Each of the specified profiles includes a description of the risks that are not addressed.

Application of countermeasures SHOULD be balanced against an assessment of the inherent risks and the value of the asset(s) that might be placed at risk.

12.1 Security and Management

No technology, regardless of how advanced it might be, is an adequate substitute to the effective application of security management policies and practices.

It is **STRONGLY RECOMMENDED** that the site manager of an ebXML Message Service apply due diligence to the support and maintenance of its; security mechanism, site (or physical) security procedures, cryptographic protocols, update implementations and apply fixes as appropriate. (See <http://www.cert.org/> and <http://ciac.llnl.gov/>)

12.2 Collaboration Protocol Agreement

The configuration of Security for MSHs is specified in the CPA. Three areas of the CPA have security definitions as follows:

- The Document Exchange section addresses security to be applied to the payload of the message. The MSH is not responsible for any security specified at this level but may offer these services to the message sender.
- The Message section addresses security applied to the entire ebXML Document, which includes the header and the payload.
- The Transport section addresses the Transport level. The MSH is not responsible for any security specified at this level.

12.3 Countermeasure Technologies

12.3.1 Persistent Digital Signature

If signatures are being used to digitally sign an ebXML message then XML Signature [DSIG] **MUST** be used to bind the ebXML Header Document to the ebXML Payload or data elsewhere on the web that relates to the message. It is also strongly **RECOMMENDED** that XML Signature is used to digitally sign the Payload on its own.

The only available technology that can be applied to the purpose of digitally signing an ebXML Message (both the ebXMLHeader and its associated payload objects) is provided by technology that conforms to the W3C/IETF joint XML Signature specification [XMLDSIG]. An XML Signature conforming to this specification can selectively sign portions of an XML document(s), permitting

1974 the documents to be augmented (new element content added) while preserving the validity of the
1975 signature(s).

1976 An ebXML Message that requires a digital signature SHALL be signed following the process~~ed~~
1977 defined in this section of the specification and SHALL be in full compliance with [XMLDSIG].

1978 **12.3.1.1 Signature Generation**

1979 13) Create a SignedInfo element with SignatureMethod, CanonicalizationMethod, and
1980 Reference(s) elements for the ebXMLHeader document and any required payload objects, as
1981 prescribed by [XMLDSIG].

1982 14) Canonicalize and then calculate the SignatureValue over SignedInfo based on algorithms
1983 specified in SignedInfo as specified in [XMLDSIG].

1984 15) Construct the Signature element that includes the SignedInfo, KeyInfo (RECOMMENDED),
1985 and SignatureValue elements as specified in [XMLDSIG].

1986 16) Include the namespace qualified Signature element in the ebXMLHeader document just
1987 signed, following the RoutingHeaderList element.

1988 The ds:SignedInfo element SHALL be composed of zero or one ds:CanonicalizationMethod
1989 element, the ds:SignatureMethod and one or more ds:Reference elements.

1990 The ds:CanonicalizationMethod element is defined as OPTIONAL in [XMLDSIG], meaning that
1991 the element need not appear in an instance of a ds:SignedInfo element. The default
1992 canonicalization method that is applied to the data to be signed is [XMLC14N] in the absence of a
1993 ds:Canonicalization element that specifies otherwise. This default SHALL also serve as the
1994 default canonicalization method for the ebXML Message Service.

1995 The ds:SignatureMethod element SHALL be present and SHALL have an Algorithm attribute. The
1996 RECOMMENDED value for the Algorithm attribute is:

1997 <http://www.w3.org/2000/02/xmlsig#sha1>

1998 This RECOMMENDED value SHALL be supported by all compliant ebXML Message Service
1999 software implementations.

2000 The ds:Reference element for the ebXMLHeader document SHALL have an URI attribute value
2001 of "" to provide for the signature to be applied to the document that contains the ds:Signature
2002 element (the ebXMLHeader document). The ds:Reference element for the ebXMLHeader
2003 document MAY include a Type attribute that has a value
2004 "http://www.w3.org/2000/02/xmlsig#Object" in accordance with [XMLDSIG]. This attribute is
2005 purely informative. It MAY be omitted. Implementations of the ebXML MSH SHALL be prepared
2006 to handle either case. The ds:Reference element MAY include the optional id attribute.

2007 The ds:Reference element for the ebXMLHeader document SHALL include a child ds:Transform
2008 element that excludes the containing ds:Signature element and all its descendants as well as the
2009 RoutingHeaderList element and all its descendants as these elements are subject to change. The
2010 ds:Transform element SHALL include a child ds:XPath element that has a value of:

2011 `/descendant-or-self::node()[not(ancestor-or-self::ds:Signature[@id='S1']) and not(ancestor-or-`
2012 `self::RoutingHeaderList)]`
2013

2014 Each payload object that requires signing SHALL be represented by a ds:Reference element that
2015 SHALL have an URI attribute that resolves to that payload object. This MAY be either the
2016 Content-Id URI of the payload object enveloped in the MIME ebXML Payload Container, or an
2017 URI that matches the Content-Location header of the payload object enveloped in the ebXML
2018 Payload Container, or an URI that resolves to an external payload object that is external to the
2019 ebXML Payload Container. It is STRONGLY RECOMMENDED that the URI attribute value match
2020 the xlink:href URI value of the corresponding Manifest/Reference element for that payload object.
2021 However, this is NOT REQUIRED.

Example of digitally signed ebXMLHeader document:

```
<?xml version="1.0" encoding="utf-8"?>
<ebXMLHeader
  xmlns="http://www.ebxml.org/namespaces/messageHeader"
  xmlns:xlink="http://www.w3.org/1999/xlink"
  version="1.0">
  <Manifest id="Mani01">
    <Reference xlink:href="cid://blahblahblah"
      xlink:role="http://ebxml.org/gci/invoice">
      <Schema version="1.0" location="http://ebxml.org/gci/busdocs/invoice.dtd"/>
    </Reference>
  </Manifest>
  <Header>
    ...
  </Header>
  <RoutingHeaderList>
    <RoutingHeader>
      ...
    </RoutingHeader>
  </RoutingHeaderList>
  <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmlds#">
    <ds:SignedInfo>
      <ds:CanonicalizationMethod Algorithm="http://www.w3.org/TR/2000/WD-xml-c14n-20001011"/>
      <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmlds#dsa-shal"/>
      <ds:Reference URI="">
        <ds:Transforms>
          <ds:Transform>
            <XPath>/descendant-or-self::node\(\)[not\(ancestor-or-self::ds:Signature\[@id='S1'\]\)] and
not(ancestor-or-self::RoutingHeaderList)]</XPath>
          </ds:Transform>
        </ds:Transforms>
        <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmlds#sha1"/>
        <ds:DigestValue>...</ds:DigestValue>
      </ds:Reference>
      <ds:Reference URI="cid://blahblahblah/">
        <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmlds#sha1"/>
        <ds:DigestValue>...</ds:DigestValue>
      </ds:Reference>
    </ds:SignedInfo>
    <ds:SignatureValue>...</ds:SignatureValue>
    <ds:KeyInfo>...</ds:KeyInfo>
  </ds:Signature>
</ebXMLHeader>
```

12.3.2 Persistent Signed Receipt

An ebXML Message that has been digitally signed MAY be acknowledged with a DeliveryReceipt acknowledgment message that itself is digitally signed in the manner described in the previous section. The acknowledgment message MUST contain the set of ds:DigestValue elements contained in the ds:Signature element of the original message within the Acknowledgment element.

12.3.3 Non-persistent Authentication

Non-persistent authentication is provided by the communications channel used to transport the ebXML message. This authentication MAY be either in one direction—from the session initiator to the receiver—or bi-directional. The specific method will be determined by the communications protocol used. For instance, the use of a secure network protocol, such as [TLS] or [IPSEC] provides the sender of an ebXML Message to authenticate the destination for the TCP/IP environment.

12.3.4 Non-persistent Integrity

Use of a secure network protocol such as [TLS] or [IPSEC] MAY be configured so as to provide for integrity check CRCs of the packets transmitted via the network connection.

2083 12.3.5 Persistent Confidentiality

2084 XML Encryption is ~~an~~ a W3C/IETF joint activity that is actively engaged in the drafting of a
2085 specification for the selective encryption of an XML document(s). It is anticipated that ~~this~~
2086 ~~specification~~ this specification will be completed within the next year. The ebXML Transport,
2087 Routing and Packaging team has identified this technology as the only viable means of providing
2088 persistent, selective confidentiality of elements within an ebXML Message including the
2089 ebXMLHeader document.

2090 Confidentiality for ebXML Payloads MAY be provided by functionality possessed by a MSH.
2091 However, this specification states that it is not the responsibility of the MSH to provide security for
2092 the ebXML Payloads. Payload confidentiality MAY be provided by using XML Encryption (when
2093 available) or some other cryptographic process, such as [S/MIME], [S/MIMEV3], or [PGP/MIME],
2094 that is bilaterally agreed upon by the parties involved. Since XML Encryption is not currently
2095 available, it is RECOMMENDED that [S/MIME] encryption methods be used for ebXML Payloads.
2096 The XML Encryption standard SHALL be the default encryption method when XML Encryption
2097 has achieved W3C Recommendation status.

2098 Section xx (TBD) describes RECOMMENDED bindings for providing persistent confidentiality
2099 using MIME-based encryption schemes.

2100 12.3.6 Non-persistent Confidentiality

2101 Use of a secure network protocol such as [TLS] or [IPSEC] provides transient confidentiality of a
2102 message as it is transferred between two ebXML MSH nodes.

2103 12.3.7 Persistent Authorization

2104 The OASIS Security Services TC is actively engaged in the definition of a specification that
2105 provides for the exchange of security credentials, including NameAssertion and Entitlements that
2106 is based on [S2ML]. Use of technology that is based on this anticipated specification MAY be
2107 used to provide persistent authorization for an ebXML Message once it becomes available.
2108 ebXML has a formal liaison to this TC. There are also many ebXML member organizations and
2109 contributors that are active members of the OASIS Security Services TC such as Sun, IBM,
2110 CommerceOne, Cisco and others that are endeavoring to ensure that the specification meets the
2111 requirements of providing persistent authorization capabilities for the ebXML Message Service.

2112 12.3.8 Non-persistent Authorization

2113 Use of a secure network protocol such as [TLS] or [IPSEC] MAY be configured to provide for
2114 bilateral authentication of certificates prior to establishing a session. This provides for the ability
2115 for an ebXML MSH to authenticate the source of a connection that can be used to recognize the
2116 source as an authorized source of ebXML Messages.

2117 12.3.9 Trusted Timestamp

2118 At the time of this specification, services that offer trusted timestamp capabilities are becoming
2119 available. Once these become more widely available, and a standard has been defined for their
2120 use and expression, these standards, technologies and services will be evaluated and considered
2121 for use in providing this capability.

Present in baseline MSH		Persistent digital signature	Non-persistent authentication	Persistent signed receipt	Non-persistent integrity	Persistent confidentiality	Non-persistent confidentiality	Persistent authorization	Non-persistent authorization	Trusted timestamp	Description of Profile
✓	Profile 0										no security services are applied to data
✓	Profile 1	✓									sending MSH applies XML/DSIG structures to message
	Profile 2		✓						✓		sending MSH authenticates and receiving MSH validates authorization from communication channel credentials
	Profile 3		✓				✓				sending MSH authenticates and receiving MSH used secure channel to transmit data
	Profile 4		✓		✓						sending MSH authenticates, the receiving MSH performs integrity checks using communications protocol
	Profile 5		✓								sending MSH authenticates the communication channel only (e.g., SSL 3.0 over TCP/IP)
	Profile 6	✓					✓				sending MSH applies XML/DSIG structures to message and passes in secure communications channel
	Profile 7	✓		✓							sending MSH applies XML/DSIG structures to message and receiving MSH returns a signed receipt
	Profile 8	✓		✓			✓				combination of profile 6 and 7
	Profile 9	✓								✓	Profile 5 with a trusted timestamp applied
	Profile 10	✓		✓						✓	Profile 9 with receiving MSH returning a signed receipt
	Profile 11	✓					✓			✓	Profile 6 with the receiving MSH applying a trusted timestamp
	Profile 12	✓		✓			✓			✓	Profile 8 with the receiving MSH applying a trusted timestamp
	Profile 13	✓				✓					sending MSH applies XML/DSIG structures to message and applies confidentiality structures (XML-Encryption)
	Profile 14	✓		✓		✓					Profile 13 with a signed receipt

Present in baseline MSH		Persistent digital signature	Non-persistent authentication	Persistent signed receipt	Non-persistent integrity	Persistent confidentiality	Non-persistent confidentiality	Persistent authorization	Non-persistent authorization	Trusted timestamp	Description of Profile
	Profile 15	✓		✓						✓	sending MSH applies XML/DSIG structures to message, a trusted timestamp is added to message, receiving MSH returns a signed receipt
	Profile 16	✓				✓				✓	Profile 13 with a trusted timestamp applied
	Profile 17	✓		✓		✓				✓	Profile 14 with a trusted timestamp applied
	Profile 18	✓						✓			sending MSH applies XML/DSIG structures to message and forwards authorization credentials (S2ML)
	Profile 19	✓		✓				✓			Profile 18 with receiving MSH returning a signed receipt
	Profile 20	✓		✓				✓		✓	Profile 19 with the a trusted timestamp being applied to the sending MSH message
	Profile 21	✓		✓		✓		✓		✓	Profile 19 with the sending MSH applying confidentiality structures (XML-Encryption)
	Profile 22					✓					sending MSH encapsulates the message within confidentiality structures (XML-Encryption)

2122 **13 Synchronous and Asynchronous Responses**

2123 This section may not be needed.

14 References

<DB>What's the difference between normative and non-normative</DB>

14.1 Normative References

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- [S/MIME] RFC2311, "S/MIME Version 2 Message Specification", S. Dusse, P. Hoffman, B. Ramsdell, L. Lundblade, L. Repka. March 1998.
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- [XMLDSIG] Joint W3C/IETF XML Digital Signature specification, <http://www.w3.org/TR/2000/CR-xmlsig-core-20001031/>
- [XMLMedia] IETF Internet Draft on XML Media Types. See <http://www.imc.org/draft-murata-xml-08>. Note. It is anticipated that this Internet Draft will soon become a RFC. Final versions of this specification will refer to the equivalent RFC.

2163	[XMLSchema]	W3C XML Schema Candidate Recommendation, http://www.w3.org/TR/xmlschema-0/ http://www.w3.org/TR/xmlschema-1/ http://www.w3.org/TR/xmlschema-2/
2164		
2165		
2166		
2167	[XMTP]	XMTP - Extensible Mail Transport Protocol http://www.openhealth.org/documents/xmtp.htm
2168		

2169 **15 Disclaimer**

2170 The views and specification expressed in this document are those of the authors and are not
2171 necessarily those of their employers. The authors and their employers specifically disclaim
2172 responsibility for any problems arising from correct or incorrect implementation or use of this
2173 design.

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Appendix A ebXMLHeader Schema and Data Type Definitions

A.1 Schema Definition

The following is the definition of the **ebXMLHeader** element as a schema that conforms to [XMLSchema]. ~~<DB>The few changes from version 0.91 are highlighted.</DB>~~

```
<?xml version = "1.0" encoding = "UTF-8"?>
<xsd:schema xmlns="http://www.ebxml.org/namespaces/messageHeader"
targetNamespace="http://www.ebxml.org/namespaces/messageHeader"
xmlns:ds="http://www.w3.org/2000/10/xmldsig#" xmlns:xlink="http://www.w3.org/1999/xlink"
xmlns:xsd="http://www.w3.org/2000/10/XMLSchema">
  <xsd:import namespace="http://www.w3.org/2000/10/xmldsig#"
schemaLocation="http://www.w3.org/TR/2000/10/xmldsig-core-schema/xmldsig-core-schema.xsd"/>

  <!-- EBXML HEADER -->
  <xsd:element name="ebXMLHeader">
    <xsd:complexType>
      <xsd:sequence>
        <xsd:element ref="Manifest" minOccurs="0" maxOccurs="1"/>
        <xsd:element ref="Header"/>
        <xsd:element ref="RoutingHeaderList" minOccurs="0" maxOccurs="1"/>
        <xsd:element ref="Acknowledgment" minOccurs="0" maxOccurs="1"/>
        <xsd:element ref="StatusData" minOccurs="0" maxOccurs="1"/>
        <xsd:element ref="ApplicationHeaders" minOccurs="0" maxOccurs="1"/>
        <xsd:element ref="ErrorList" minOccurs="0" maxOccurs="1"/>
        <xsd:element ref="ds:Signature" minOccurs="0" maxOccurs="unbounded"/>
      </xsd:sequence>
      <xsd:attribute name="version" use="fixed" value="0.930-92" type="xsd:string"/>
      <xsd:anyAttribute namespace="##any" processContents="lax"/>
    </xsd:complexType>
  </xsd:element>

  <!-- MANIFEST -->
  <xsd:element name="Manifest">
    <xsd:complexType>
      <xsd:sequence>
        <xsd:element ref="Reference" maxOccurs="unbounded"/>
        <xsd:any namespace="##other" processContents="lax"/>
      </xsd:sequence>
      <xsd:attribute name="id" use="required" type="xsd:ID"/>
    </xsd:complexType>
  </xsd:element>

  <xsd:element name="Reference">
    <xsd:complexType>
      <xsd:sequence>
        <xsd:element ref="Schema" minOccurs="0" maxOccurs="unbounded"/>
        <xsd:element ref="Description" minOccurs="0" maxOccurs="1"/>
        <xsd:any namespace="##other" processContents="lax"/>
      </xsd:sequence>
      <xsd:attribute name="id" use="required" type="xsd:ID"/>
    </xsd:complexType>
    <!-- Changed required to fixed on xlink:type -->
    <xsd:attribute name="xlink:type" use="fixed" type="xsd:string" value="simple"/>
    <xsd:attribute name="xlink:href" use="required" type="xsd:uriReference"/>
    <!-- Changed to optional on xlink:role -->
    <xsd:attribute name="xlink:role" type="xsd:uriReference"/>
  </xsd:element>

  <xsd:element name="Schema">
    <xsd:complexType>
```

```

2333     <xsd:simpleContent>
2334         <xsd:attribute name="location" use="required" type="xsd:uriReference"/>
2335         <xsd:attribute name="version" type="xsd:string"/>
2336     </xsd:simpleContent>
2337 </xsd:complexType>
2338 </xsd:element>
2339
2340 <!-- HEADER -->
2341 <xsd:element name="Header">
2342     <xsd:complexType>
2343         <xsd:sequence>
2344             <xsd:element ref="From"/>
2345             <xsd:element ref="To"/>
2346             <xsd:element ref="CPAId"/>
2347             <xsd:element ref="ConversationId"/>
2348             <xsd:element ref="Service"/>
2349             <xsd:element ref="Action"/>
2350             <xsd:element ref="MessageData"/>
2351 <!-- Changed Reliable Messaging Inf to Quality Of Service Info. -->
2352 <!-- Removed DeliveryReceiptRequested and TimeToLive and made them optional attributes of
2353 Quality of Service Info -->
2354             <xsd:element ref="QualityOfServiceInfo" minOccurs="0" maxOccurs="1"/>
2355 <!-- Changed description from maxOccurs 1 to unbounded -->
2356             <xsd:element ref="Description" minOccurs="0" maxOccurs="unbounded"/>
2357 <!-- Added SequenceNumber element -->
2358             <xsd:element ref="SequenceNumber" minOccurs="0" maxOccurs="1"/>
2359             <xsd:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
2360         </xsd:sequence>
2361         <xsd:attribute name="id" type="xsd:ID"/>
2362     </xsd:complexType>
2363 </xsd:element>
2364
2365 <xsd:element name="To">
2366     <xsd:complexType>
2367         <xsd:simpleContent>
2368             <xsd:extension base="xsd:string">
2369                 <xsd:attribute name="type" type="xsd:string"/>
2370             </xsd:extension>
2371         </xsd:simpleContent>
2372     </xsd:complexType>
2373 </xsd:element>
2374
2375 <xsd:element name="CPAId" type="xsd:string"/>
2376
2377 <xsd:element name="ConversationId" type="xsd:string"/>
2378
2379 <xsd:element name="Service" type="xsd:string"/>
2380
2381 <xsd:element name="Action" type="xsd:string"/>
2382
2383 <xsd:element name="MessageData">
2384     <xsd:complexType>
2385         <xsd:sequence>
2386             <xsd:element ref="MessageId"/>
2387             <xsd:element ref="Timestamp"/>
2388             <xsd:element ref="RefToMessageId" minOccurs="0" maxOccurs="1"/>
2389         </xsd:sequence>
2390     </xsd:complexType>
2391 </xsd:element>
2392
2393 <xsd:element name="MessageId" type="xsd:string"/>
2394
2395 <xsd:element name="QualityOfServiceInfo">
2396     <xsd:complexType>
2397         <xsd:simpleContent>
2398             <xsd:attribute name="deliverySemantics" use="default" value="BestEffort"/>
2399             <xsd:simpleType>
2400                 <xsd:restriction base="xsd:NMTOKEN">
2401                     <xsd:enumeration value="OnceAndOnlyOnce"/>
2402                     <xsd:enumeration value="BestEffort"/>
2403                 </xsd:restriction>

```

```

2404         </xsd:simpleType>
2405     <!-- Added in messageOrderSemantics attribute -->
2406     <xsd:attribute name="messageOrderSemantics" use="default" value="NotGuaranteed"/>
2407     <xsd:simpleType>
2408         <xsd:restriction base="xsd:NMTOKEN">
2409             <xsd:enumeration value="Guaranteed"/>
2410             <xsd:enumeration value="NotGuaranteed"/>
2411         </xsd:restriction>
2412     </xsd:simpleType>
2413     <!-- Added in deliveryReceiptRequested attribute -->
2414     <xsd:attribute name="deliveryReceiptRequested" use="default" value="None"/>
2415     <xsd:simpleType>
2416         <xsd:restriction base="xsd:NMTOKEN">
2417             <xsd:enumeration value="Signed"/>
2418             <xsd:enumeration value="UnSigned"/>
2419             <xsd:enumeration value="None"/>
2420         </xsd:restriction>
2421     </xsd:simpleType>
2422     <!-- Added in timeToLive attribute -->
2423     <xsd:attribute name="timeToLive" type="xsd:timeInstant"/>
2424 </xsd:simpleContent>
2425 </xsd:complexType>
2426 </xsd:element>
2427
2428 <!-- ROUTING HEADER LIST -->
2429 <xsd:element name="RoutingHeaderList">
2430     <xsd:complexType>
2431         <xsd:sequence>
2432             <xsd:element ref="RoutingHeader" maxOccurs="unbounded"/>
2433         </xsd:sequence>
2434         <xsd:attribute name="id" type="xsd:ID"/>
2435     </xsd:complexType>
2436 </xsd:element>
2437
2438 <xsd:element name="RoutingHeader">
2439     <xsd:complexType>
2440         <xsd:sequence>
2441             <xsd:element ref="SenderURI"/>
2442             <xsd:element ref="ReceiverURI"/>
2443             <xsd:element ref="ErrorURI" minOccurs="0" maxOccurs="1"/>
2444             <xsd:element ref="Timestamp"/>
2445             <xsd:element ref="SequenceNumber" minOccurs="0" maxOccurs="1"/>
2446             <xsd:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
2447         </xsd:sequence>
2448         <xsd:attribute name="reliableMessagingMethod"/>
2449         <xsd:simpleType>
2450             <xsd:restriction base="xsd:NMTOKEN">
2451                 <xsd:enumeration value="ebXML"/>
2452                 <xsd:enumeration value="Transport"/>
2453             </xsd:restriction>
2454         </xsd:simpleType>
2455         <xsd:attribute name="intermediateAckRequested"/>
2456         <xsd:simpleType>
2457             <xsd:restriction base="xsd:NMTOKEN">
2458                 <xsd:enumeration value="Signed"/>
2459                 <xsd:enumeration value="UnSigned"/>
2460                 <xsd:enumeration value="None"/>
2461             </xsd:restriction>
2462         </xsd:simpleType>
2463     </xsd:complexType>
2464 </xsd:element>
2465
2466 <xsd:element name="SenderURI" type="xsd:uriReference"/>
2467
2468 <xsd:element name="ReceiverURI" type="xsd:uriReference"/>
2469
2470 <xsd:element name="SequenceNumber" type="xsd:positiveInteger" minOccurs="0" maxOccurs="1"/>
2471
2472 <xsd:element name="ErrorURI" type="xsd:uriReference" minOccurs="0" maxOccurs="1"/>
2473

```

```

2474 <!-- APPLICATION HEADERS -->
2475 <xsd:element name="ApplicationHeaders" type="ApplicationHeaders"/>
2476 <xsd:complexType name="ApplicationHeaders">
2477   <xsd:sequence>
2478     <xsd:any namespace="##other" processContents="lax"/>
2479   </xsd:sequence>
2480   <xsd:attribute name="id" type="xsd:ID"/>
2481 </xsd:complexType>
2482
2483 <!-- ACKNOWLEDGEMENT -->
2484 <xsd:element name="Acknowledgment">
2485   <xsd:complexType>
2486     <xsd:sequence>
2487       <xsd:element ref="Timestamp"/>
2488       <xsd:element ref="From" minOccurs="0" maxOccurs="1"/>
2489     </xsd:sequence>
2490     <xsd:attribute name="id" type="xsd:ID"/>
2491     <xsd:attribute name="type" use="default" value="DeliveryReceipt"/>
2492     <xsd:simpleType>
2493       <xsd:restriction base="xsd:NMTOKEN">
2494         <xsd:enumeration value="DeliveryReceipt"/>
2495         <xsd:enumeration value="IntermediateAck"/>
2496       </xsd:restriction>
2497     </xsd:simpleType>
2498     <xsd:attribute name="signed" type="xsd:boolean"/>
2499   </xsd:complexType>
2500 </xsd:element>
2501
2502 <!-- ERROR LIST -->
2503 <xsd:element name="ErrorList">
2504   <xsd:complexType>
2505     <xsd:sequence>
2506       <xsd:element ref="Error" maxOccurs="unbounded"/>
2507     </xsd:sequence>
2508     <xsd:attribute name="id" type="xsd:ID"/>
2509     <xsd:attribute name="highestSeverity" use="default" value="Warning"/>
2510     <xsd:simpleType>
2511       <xsd:restriction base="xsd:string">
2512         <xsd:enumeration value="Warning"/>
2513         <xsd:enumeration value="Error"/>
2514       </xsd:restriction>
2515     </xsd:simpleType>
2516   </xsd:complexType>
2517 </xsd:element>
2518
2519 <xsd:element name="Error">
2520   <xsd:complexType>
2521     <xsd:attribute name="codeContext" use="required" type="xsd:uriReference"/>
2522     <xsd:attribute name="errorCode" use="required" type="xsd:string"/>
2523     <xsd:attribute name="severity" use="default" value="Warning"/>
2524     <xsd:simpleType>
2525       <xsd:restriction base="xsd:NMTOKEN">
2526         <xsd:enumeration value="Warning"/>
2527         <xsd:enumeration value="Error"/>
2528       </xsd:restriction>
2529     </xsd:simpleType>
2530     <xsd:attribute name="location" type="xsd:string"/>
2531     <xsd:attribute name="xml:lang" type="xsd:language"/>
2532     <xsd:attribute name="errorMessage" type="xsd:string"/>
2533     <xsd:attribute name="softwareDetails" type="xsd:string"/>
2534   </xsd:complexType>
2535 </xsd:element>
2536
2537 <!-- STATUS DATA -->
2538 <xsd:element name="StatusData">
2539   <xsd:sequence>
2540     <xsd:element ref="RefToMessageId"/>
2541     <xsd:element ref="Timestamp" minOccurs="0" maxOccurs="1"/>
2542     <xsd:element name="ForwardURI" type="xsd:uriReference" minOccurs="0" maxOccurs="1"/>
2543   </xsd:sequence>
2544   <xsd:attribute name="messageStatus"/>

```



```

2545     <xsd:simpleType>
2546       <xsd:restriction base="xsd:NMTOKEN">
2547         <xsd:enumeration value="Unauthorized"/>
2548         <xsd:enumeration value="NotRecognized"/>
2549         <xsd:enumeration value="Received"/>
2550         <xsd:enumeration value="Processed"/>
2551         <xsd:enumeration value="Forwarded"/>
2552       </xsd:restriction>
2553     </xsd:simpleType>
2554   </xsd:element>
2555
2556   <!-- COMMON ELEMENTS -->
2557   <xsd:element name="From">
2558     <xsd:complexType>
2559       <xsd:simpleContent>
2560         <xsd:extension base="xsd:string">
2561           <xsd:attribute name="type" type="xsd:string"/>
2562         </xsd:extension>
2563       </xsd:simpleContent>
2564     </xsd:complexType>
2565   </xsd:element>
2566
2567   <xsd:element name="Description">
2568     <xsd:complexType>
2569       <xsd:simpleContent>
2570         <xsd:extension base="xsd:string">
2571           <xsd:attribute name="xml:lang" type="xsd:NMTOKEN"/>
2572         </xsd:extension>
2573       </xsd:simpleContent>
2574     </xsd:complexType>
2575   </xsd:element>
2576
2577   <xsd:element name="RefToMessageId" type="xsd:string"/>
2578
2579   <xsd:element name="Timestamp" type="xsd:timeInstant"/>
2580   <!-- Does timeInstant conform to ISO 2601? -->
2581
2582 </xsd:schema>

```

A.2 Data Type Definition

This section will contain a [XML] DTD that is equivalent to the schema defined in section A.1.

2585 **Appendix B Examples**

2586 To be completed.

Appendix C Communication Protocol Interfaces

This Appendix describes how the ebXML Message Service messages are carried by Communication Protocols. Two protocols are supported:

- Hypertext Transfer Protocol – HTTP/1.1, in both asynchronous and synchronous forms, and
- SMTP – Simple Mail Transfer Protocol

C.1 HTTP

This section describes how to transport ebXML compliant messages of [HTTP]. This can work in one of the following two ways:

- asynchronously, where the response to a message is sent using a separate HTTP POST, and
- synchronously, where the response to a message is sent on the HTTP RESPONSE returned from an HTTP POST

These are described below.

C.1.1 Asynchronous HTTP

In Asynchronous HTTP, all ebXML Message Service messages are carried by an HTTP Request Message (POST method). The HTTP Response Message to an HTTP Request Message has no entity body. This is illustrated by the figure below.

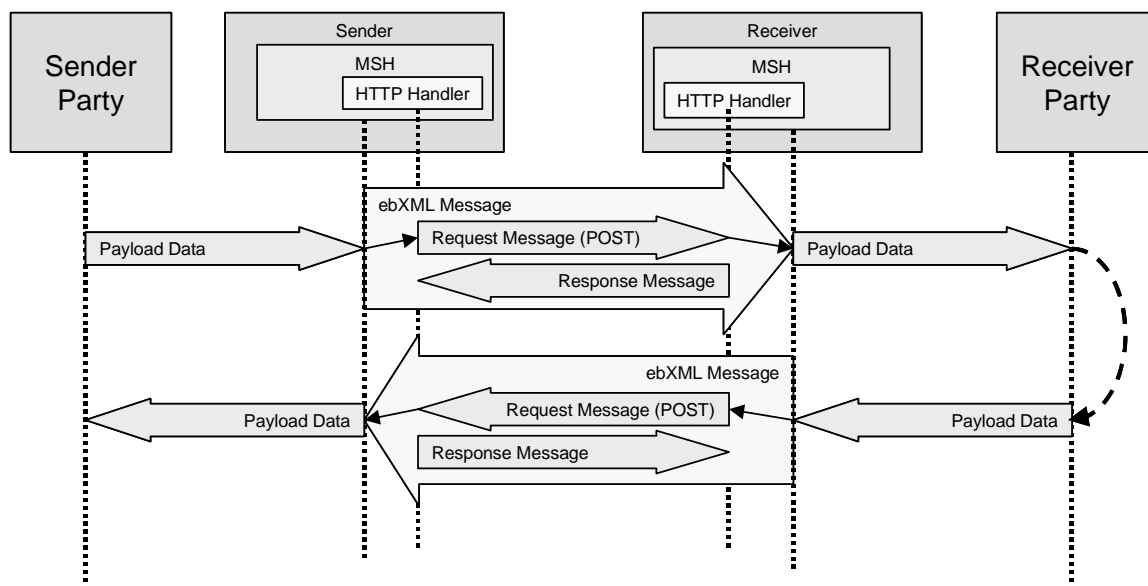


Figure C.1 Asynchronous HTTP Message Flow

A message that is being sent asynchronously MAY be identified by the following HTTP header:

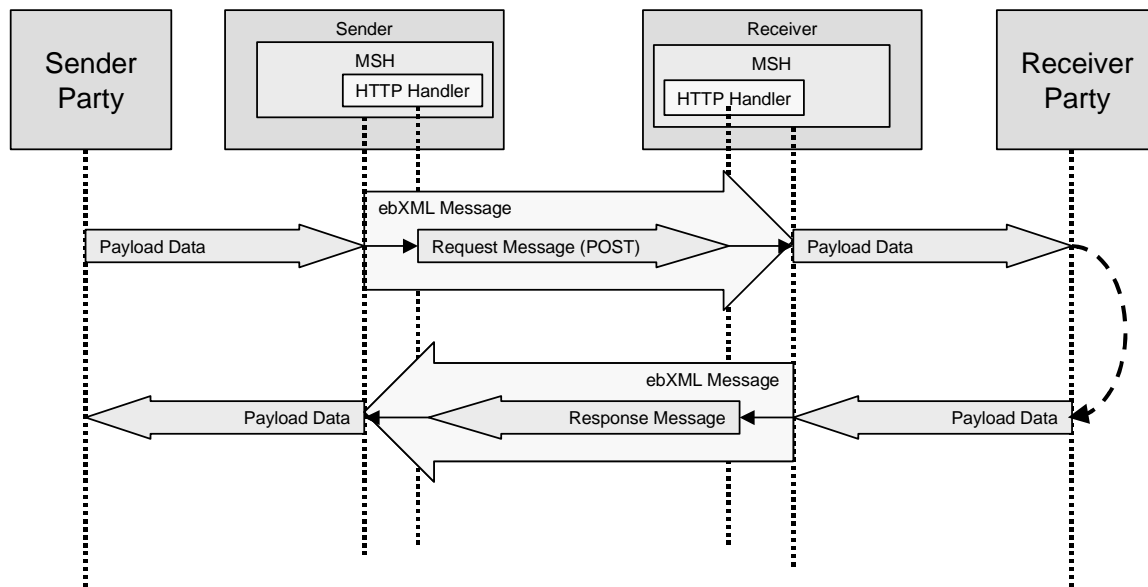
```
ebxmlresponse=asynchronous
```

2609 If the `ebXMLresponse` HTTP parameter is omitted then it MUST be assumed that the response
 2610 is sent asynchronously.

2611 C.1.2 Synchronous HTTP

2612 *[The Synchronous HTTP section has not been agreed to by the membership of the TRP*
 2613 *Project Team; however, it is being included to provide a basis for POC developers of MSH*
 2614 *implementations. Implementers MUST be prepared for some change to the content of this*
 2615 *section.]*

2616 In Synchronous HTTP, one ebXML Message Service message is carried by an HTTP Request
 2617 Message (POST method) with the ebXML Message that is a response to the first message sent
 2618 in the HTTP Response Message to the HTTP Request Message. This is illustrated by the figure
 2619 below.



2620

2621 **Figure C.2 Synchronous HTTP Message Flow**

2622 If a response is being sent synchronously, the following HTTP header MUST be included in the
 2623 HTTP envelope:

2624 `ebxmlresponse=synchronous`

2625 C.1.3 Use of Error Codes

2626 Communication Protocol Error Codes are used only to report errors in the communication
 2627 protocol envelope (see section 7.1). A normal OK Response (e.g. an HTTP code 200) is used
 2628 even if there are errors in the MIME envelope, the ebXML Header document or the payload.

2629

C.2 SMTP

All ebXML Message Service messages are carried as mail in an [SMTP] Mail Transaction as shown in the figure below.

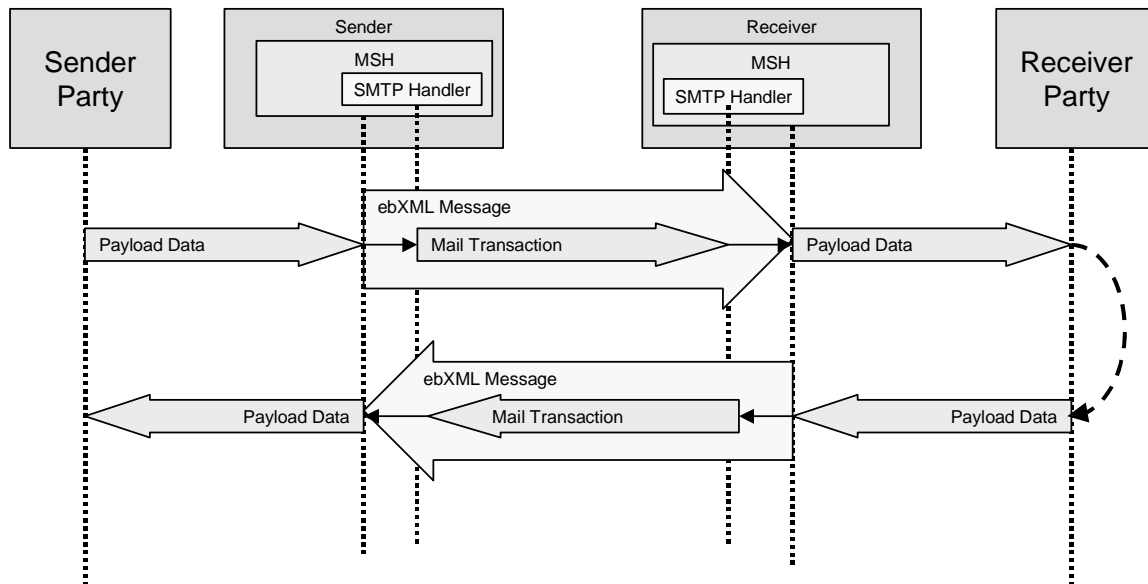


Figure C.3 SMTP Message Flow

The Mail Transaction follows RFC 821, "SIMPLE MAIL TRANSFER PROTOCOL", as shown in the following Figure:

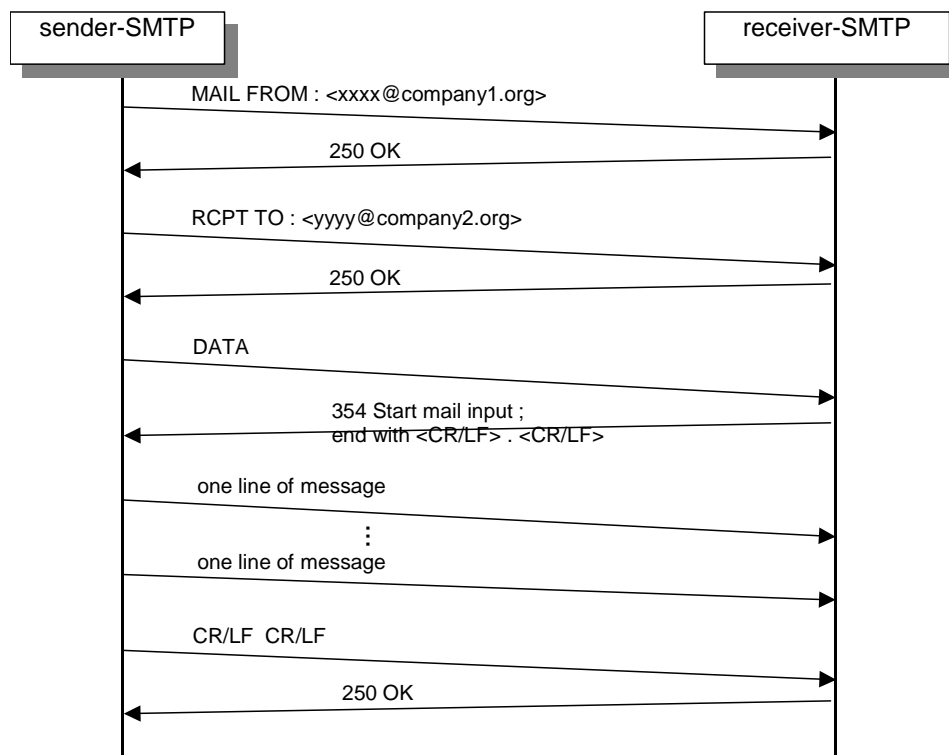


Figure C.4 SMTP Sequence

2639 **~~C.3FTP~~**

2640 ~~This section will describe how ebXML Messages may be sent using the File~~
2641 ~~Transfer protocol as defined in RFC 959~~

2642 ~~This section is to be completed.~~

2643 **~~C.4Communication Protocol Errors~~**

2644 **~~C.4.1Use of Error Codes~~**

2645 ~~Communication Protocol Error Codes are used only to report errors in the~~
2646 ~~communication protocol envelope (see section 7.1). A normal OK~~
2647 ~~Response (e.g. an HTTP code 200) is used even if there are errors in~~
2648 ~~the MIME envelope, the ebXML Header document or the payload.~~

2649 **C.4.2C.3 Communication Errors during Reliable Messaging**

2650 When the Sender or the Receiver detects a transport protocol level error (such as an HTTP,
2651 SMTP or FTP error) and Reliable Messaging is being used then the appropriate transport
2652 recovery handler will execute a recovery sequence. Only if the error is unrecoverable, does
2653 Reliable Messaging recovery take place (see section 10).

Appendix D ~~Reliable Messaging Processing~~ LogicRequest for MIME media type Application/Vendor Tree - vnd

~~This section will contain non-normative reference processing logic to describe the behavior of a MSH that is taking part in reliable messaging. It's purpose is to assist implementers in developing consistent interoperable solutions.~~is non-normative. It contains the information forwarded to IANA to register the MIME subtype vnd.be+xml. The information was extracted verbatim from the e-mail message forward by Dick Brooks, Group8760, on behalf of the ebXML Transportation, Routing, and Packaging Project Team.

From: Dick Brooks [mailto:dick@8760.com]
Sent: Thursday, February 01, 2001 2:00 PM
To: iana@iana.org; Dick Brooks
Subject: Request for MIME media type Application/Vendor Tree - vnd.

Name: Richard Brooks (on behalf of OASIS and UN/CEFACT)
E-mail: dick@8760.com
MIME media type name: Application
MIME subtype name: Vendor Tree - vnd.eb+xml
Required parameters: version
Optional parameters: charset
Encoding considerations: N/A
Security considerations: N/A
Interoperability considerations: N/A
Published specification: Message Service Specification ebXML Transport, Routing and Packaging
Applications that use this media: ebXML Message Handling Services
Additional information:
 1. Magic number(s): N/A
 2. File extension(s): .ebx
 3. Macintosh file type code: N/A
 4. Object Identifiers: N/A

This media type is owned jointly by OASIS, UN/CEFACT and ebXML
Person to contact for further information:
 1. Name: Richard Brooks
 2. E-mail: dick@8760.com
Intended usage: Common
Identifies ebXML header documents
Author/Change controller:
 Christopher Ferris chris.ferris@east.sun.com
 Rik Drummond rvd2@worldnet.att.net

2697

2698

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